Modeling Methodology Based on Fast and Refined Neural Networks for Non-Isolated DC–DC Converters With Configurable Parameter Settings

Hanchen Ge[,](https://orcid.org/0000-0002-0129-5176) Zhihong Huang, *Student Member, IEEE*, and Zhicong Huang¹⁰, *Member, IEEE*

Abstract— Compared with conventional physics-based methods, e.g., analytical modeling and numerical modeling, datadriven methods can extract input-to-output relationships from the data without much prior knowledge of the physical system, thus showing great potential in modeling power electronics (PE) converters with complex switching behaviors and configurable parameter settings. Previous data-driven PE circuit modeling approaches are mostly based on sequential neural networks, and their execution speed suffers from large sequential lengths due to a high sampling rate for high modeling accuracy. Moreover, modeling of refined singular ripples is missing and configurable parameter settings are not available in these data-driven modeling approaches. To address the above-mentioned issues, this paper proposes a hybrid physics-informed machine learning (ML) method to model the non-isolated DC-DC converters. The approach empirically decomposes the output signals into transient large signals and periodic small signals. For transient large signals, a fully-connected neural network (NN) is used to map circuit parameters with system characteristics, such that configurable circuit parameter settings are allowed. For periodic signals, a long short-time memory (LSTM) network together with convolutional neural network (CNN) is used to accelerate the simulation by predicting signal features in the compressed latent space. A buck converter with configurable parameter settings is modeled by the proposed hybrid physics-informed ML method. Periodic ripples are successfully generated, while execution speed is about 10 times faster than that of conventional numerical methods.

Index Terms— DC–DC converter, physics-informed machine learning, modeling, signal decomposition.

NOMENCLATURE

 $\mathcal{F}(\mathbf{x}(t); \mathbf{p})$, *a* Ideal model of DC-DC converters and initial conditions.

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Hanchen Ge and Zhicong Huang are with the Shien-Ming Wu School of Intelligent Engineering, South China University of Technology, Guangzhou 510006, China (e-mail: zhiconghuang@scut.edu.cn).

Zhihong Huang is with the Department of Computer and Information Science, Faculty of Science and Technology, University of Macau, Macau, China (e-mail: zhihonghuang2016@gmail.com).

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I. INTRODUCTION

THE real-time performance of modeling and simulation
of Power Electronics (PE) converters has been always of Power Electronics (PE) converters has been always in hot pursuit. At present, Industry 4.0 application scenarios such as the automatic design of power electronic circuits and digital twins have put forward higher requirements for the fast speed of circuit modeling and simulation [\[1\]. H](#page-10-0)owever, commercially-used numerical simulation methods are not fast enough, especially when modeling PE converters with a high sampling rate, strong nonlinearity, complex and variable topology, and control logic [\[2\], \[](#page-10-1)[3\]. Th](#page-10-2)us, the real-time requirements are still challenging the numerical methods. In recent years, data-driven modeling methods are showing great advantages in speed and have been used in many fields such as electromagnetic field simulation [\[4\]. M](#page-10-3)oreover, large physical data can be collected thanks to the rapid development of industrial IoT, which supports data-driven modeling methods, as is shown in Fig. $1(a)$. Therefore, this paper explores the possibility of using data-driven methods for PE modeling.

Three categories of modeling methods for PE converters are comprehensively summarized in Fig. $1(b)$. In general, there are physics-based, physics-informed data-driven, and purely data-based methods [\[5\]. Th](#page-10-4)e physics-based method, like the analytical and numerical methods, assumes that PE and mathematical fundamentals are prior knowledge for building and solving system equations respectively. The purely databased methods, like fully-connected NNs, rely on a large number of data and find the relationship between the input and output without knowing any physics [\[6\]. T](#page-10-5)he physicsinformed data-driven methods, like neural operators, merge the aforementioned methods to lower the requirements of data and make the predictive result comply with the physics [\[7\].](#page-10-6) Moreover, based on computational structures, each method can be further classified into sequential and operator structures [\[8\].](#page-10-7) The operator structure maps the initial conditions directly into outputs, while the sequential structure solves the problem step by step.

As shown in the first column of Fig. $1(b)$, the physics-based approach includes numerical methods and analytical methods. Numerical methods are mostly based on sequential structures, to be specific, step-by-step ordinary differential equations (ODEs) solvers [\[9\], \[](#page-10-8)[10\]. S](#page-10-9)uch sequential structures can be considered as a cause-and-effect chain that can emulate the real world, which is a significant characteristic of numerical methods. With the sequential structures, the numerical methods are flexible enough to model any PE converters regardless of whether they are linear or not. However, the sequential structures suffer from low speed because the solving process is step by step and cannot be made parallel, especially when a high sampling rate is required for high modeling accuracy [\[11\]. O](#page-10-10)n the contrary, analytical methods, including the time domain method and the complex frequency domain method, are to symbolically solve the ODEs of the circuits and get explicit solutions. Such symbolic methods are fast and stable for linear circuits, as those explicit solutions can map the initial conditions directly into the result. However, it is difficult to symbolically solve nonlinear ODEs, and

(a) Modeling of real plants for digital twins.

| Physics | Physics-based | Physics-informed ML | Purely Data-driven | Data |
|--------------------------------|------------------------------|---|--|------|
| Sequential Structure | Numerical ODE Solvers [8] | Message Passing Neural PDE Solvers [7] | RNN; LSTM [15]; Transformer Decoder [12] | |
| Operator Structure | Analytical Methods | Fourier Neural Operators [3]; Physics-Informed NN [6] | DNN; CNN [17] | |

(b) An overview of modeling methods.

Fig. 1. Modeling of physical plants for digital twins and an overview of modeling methods.

the nonlinear circuits have to get linearized, resulting in accuracy degradation. Moreover, the complicated switching behaviors within the PE circuit always change the circuit topology, which leads to variations of ODE forms. The speed of the analytical method will suffer from the frequent changes of ODEs.

Data-driven methods can be categorized into operator and sequential structures. Currently, networks with operator structures have seldom been applied in circuit modeling, while data-driven modeling for PE converters is most based on sequential structures. Sequential networks, such as RNN, LSTM and Transformer Decoder [\[12\],](#page-10-11) [\[13\],](#page-10-12) assume time invariance and their forms are very similar to the discrete state equations of circuits and the numerical iterative equations of ODEs [\[14\]. A](#page-10-13)lthough some studies have carried out data-driven methods based on sequential structures to model the electrical signals [\[1\], \[](#page-10-0)[15\], \[](#page-10-14)[16\], \[](#page-10-15)[17\], \[](#page-10-16)[18\], \[](#page-10-17)[19\], i](#page-10-18)t should be pointed out that, they are based on series and step-by-step calculations, which is the bottleneck of speeding up. In some other research fields, such as speech recognition and generation, operator structures like CNN [\[20\] a](#page-10-19)re more common with speech signals quantized into finite-size segments [\[21\],](#page-10-20) [\[22\].](#page-10-21) Text-to-speech (TTS) studies like [\[23\] u](#page-10-22)se generative adversarial nets (GAN) [\[24\] f](#page-10-23)or speech generation, which means voices can be generated based on speech features such as phonogram, duration, and tunes. In some studies, sequential natural language processing (NLP) NNs such as BERT [\[25\]](#page-10-24) can be added to the TTS network. Such a hybrid structure not only achieves speed advantages but also has wider receptive fields. Moreover, they are not prone to gradient vanishing problems, and the output results are more refined. Although the data-driven methods are generally faster, their generalization performance and accuracy are still challenging. For the ODEs of PE converters, the inputs and outputs are distributed in Banach spaces, and it is difficult to grasp the overview of the ODE only by finite-sized function samples [\[26\]. F](#page-10-25)or example, a PE converter can operate in a wide frequency range, and thus a large number of data with a wide range of frequencies

are required if a time-domain sequential network is used for modeling [\[1\]. T](#page-10-0)he final prediction will lose generalization performance outside the frequency range of the dataset. Moreover, to support configurable circuit parameters, a whole family of ODEs is involved, and the amount of data will be further expanded, which significantly makes the network training difficult.

To be summarized, there are three major challenges when using data-driven methods for PE converter modeling as follows.

- (a) A commonly-used network structure requires too much data, which makes it difficult to handle the configurable parameter settings.
- (b) A sequential network structure is based on step-by-step calculations, which is the bottleneck of speeding up.
- (c) The limited receptive field results in a loss of details such as periodic signal components.

To address these issues, some certain prior knowledge can be introduced to train the network, which is usually called physics-informed machine learning (PiML) [\[5\], \[](#page-10-4)[27\],](#page-10-26) [\[28\]. P](#page-10-27)iML methods incorporate the physics-based and purely data-driven methods by introducing bias, such as inductive bias, observation bias, and learning bias, via the network structure, observation data, and loss function. Such that, the generalization performance can be enhanced with datasets reduced. In recent years, PiML has achieved remarkable results in fitting ODEs or partial differential equations and is successfully applied in simulations of electromagnetic field, fluid and thermal heat [\[7\], \[](#page-10-6)[8\], \[](#page-10-7)[29\],](#page-10-28) [\[30\]. C](#page-10-29)ompared with the above-mentioned simulations, the PE converters systems are more complex in terms of their nonlinearity and variable ODEs.

To the best of our knowledge, modeling of PE converters with PiML methods has not yet been reported, which motivates us to investigate its feasibility. In this paper, a hybrid physicsinformed machine learning (PiML) method is proposed to model the non-isolated DC-DC converters. The approach empirically decomposes the output signals of non-isolated DC-DC converters into transient large signals and periodic small signals. For transient large signals, a fully-connected NN is used to map circuit parameters with system characteristics, such that configurable circuit parameter settings are allowed. For periodic signals, LSTM together with CNN is used to accelerate the simulation by predicting signal features in the compressed latent space. A buck converter with configurable parameter settings is modeled by the proposed hybrid PiML method to validate its efficacy. Periodic ripples are successfully generated, while execution speed is about 10 times faster than that of conventional numerical methods.

The structure of this paper is as follows: Section [II](#page-2-0) reveals the critical signal issues of modeling PE converters and proposes to decompose signals into transient and periodic components. Section [III](#page-4-0) details the modeling approaches for the transient and periodic signals. Section [IV](#page-6-0) validates the proposed method, and a conclusion is given in Section [V.](#page-10-30)

Fig. 2. The modeled Buck converter.

II. PROBLEM STATEMENT AND SIGNAL PREPROCESSING

A. Problem Statement

The basic notations of this paper are defined in the nomenclature, in which vectors are in the form of lowercase bold italic letters. In addition, notations for the special Buck converter example are defined in Fig. [2.](#page-2-1)

In general, an ideal mathematical model for a DC-DC converter can be illustrated by $\mathcal{F}(\mathbf{x}(t); \mathbf{p})$ and initial conditions *a*:

$$
s(t) = \mathcal{F}(x(t); p), \text{ and } (1)
$$

$$
s(0) = a,\t\t(2)
$$

where $\mathcal{F}(\mathbf{x}(t); \mathbf{p})$ is the ideal mathematical model of DC-DC converter systems, which is a family of operators that map between the function spaces. \boldsymbol{p} is the parameter input, which determines the system's inherent characteristics and is fixed through time. $x(t)$ is the excitation input, which does not affect the inherent characteristics. $s(t)$ are the output signals (states).

In addition, two assumptions are made for the mathematical model of the DC-DC converter system $\mathcal{F}(\mathbf{x}(t); \mathbf{p})$:

- (a) All the resistors, capacitors and inductors in the system are linear components.
- (b) The switching pattern of the system is periodical, and the number of switching states is finite.

The object of this paper is to propose a data-driven method that models DC-DC converters, which fits the mathematical model $\mathcal{F}(\mathbf{x}(t); \mathbf{p})$ using NNs and maps the inputs $\mathbf{x}(t)$ and \mathbf{p} into the outputs $s(t)$.

As shown in Fig. [2,](#page-2-1) a Buck converter is taken as an example to illustrate the proposed NN-based modeling methodology. The mathematical model of the Buck converter can also be expressed as (1) and (2) , where the excitation input $x(t)$, the parameter input p and the system outputs $s(t)$ are given as

$$
\mathbf{s}(t) = [u_C(t), i_L(t)]^{\mathrm{T}},\tag{3}
$$

$$
\mathbf{x}(t) = [u_s(t), D(t)]^{\mathrm{T}}, \text{ and } (4)
$$

$$
\boldsymbol{p} = [R, L, C, T]^{\mathrm{T}}.
$$

This modeling methodology can also be extended to the rest of typical non-isolated DC-DC converters, such as Boost, Buck-Boost, Cuk, Sepic and Zeta converters.

Fig. 3. An example of the output $u_C(t)$ and the decomposed transient components under step excitation inputs and zero states.

B. Signal Decomposition

In the non-isolated DC-DC converters, the power switch periodically changes the circuit topology and chops the output signals into singular segments. Taking the Buck converter as an example, the output signal $u_C(t)$ is depicted in Fig. [3](#page-3-0) with the circuit parameters fixed and the initial system states set as zero. Such a singular signal has ultra-wide frequency and amplitude ranges, which is challenging to model by an end-to-end NN.

Therefore, a signal decomposition scheme is proposed. In this scheme, the outputs are decomposed into a slow-changing transient signal component $\bar{s}(t)$ and a singular periodic signal component $\tilde{s}(t)$, given by

$$
\mathbf{s}(t) = \overline{\mathbf{s}}(t) + \widetilde{\mathbf{s}}(t),\tag{6}
$$

where the periodic signal component $\tilde{s}(t)$ results from the switching events, and the transient signal component $\bar{s}(t)$ results from the states of the energy storage elements like inductors and capacitors. The characteristics of these two signal components are different, i.e., the former is periodic, singular, and fast-changing; the latter is aperiodic, differentiable, and slow-changing. Hence, different modeling methods are used to model these two components separately, given by

$$
\overline{s}(t) = \overline{\mathcal{F}}(\mathbf{x}(t); \, \mathbf{p}), \text{ and } (7)
$$

$$
\widetilde{\mathfrak{s}}(t) = \widetilde{\mathcal{F}}(\mathfrak{x}(t); \, \mathbf{p}).\tag{8}
$$

It should be noted that such a signal decomposition method is different from conventional methods such as Fast Fourier Transform (FFT) [\[31\] a](#page-10-31)nd Empirical Mode Decomposition (EMD) [\[32\].](#page-10-32) The difference is that the differentiable and non-periodic transient components like exponential signal are fully reserved for post-processing.

A general decomposition procedure is given as follows, and a pseudo-code for the proposed signal decomposition is shown in Algorithm [1.](#page-3-1)

(a) The exact form of the output signal $s(t)$ is first derived. An *n*th-order DC-DC converter with *m* states in each switching period can be expressed by a group of linear ODEs:

$$
\begin{cases}\n\mathcal{L}_{n1}(s(t)) = \lambda_1 x(t), & t \in (k, kT + t_1) \\
\mathcal{L}_{n2}(s(t)) = \lambda_2 x(t), & t \in (kT + t_1, kT + t_2) \\
\cdots \\
\mathcal{L}_{nm}(s(t)) = \lambda_m x(t), & t \in (kT + t_{m-1}, (k+1)T)\n\end{cases}
$$

Algorithm 1 The Proposed Signal Decomposition Method

Input: An 1xS array of discrete signal *s*(*t*), the switching period array 1xn *T*

Output: $(n+1) \times S$ arrays of discrete signal $\bar{s}(t)$, $\tilde{s}(t)$

- 1: for i \leftarrow 1 to n do
- 2: peak_indices \leftarrow scipy.signal.find_peaks($s(t)$)
- 3: For a peak detection failure, set peak_indices by T[i] in equal difference
- 4: Initialize sample_indices, segment_ave
- 5: for j in peak_indices do
- 6: Subsect $s(t)$ into segments by peak_indices
- 7: sample_indices[j] \leftarrow the middle index of segment j
- 8: segment ave $[i] \leftarrow$ the average of segments

9: end for

10: end for

where \mathcal{L}_{nm} are linear differential operators, λ are constants, t_i is the moment when the i th switching event happens. The solutions of the *i*th ODE include a general solution s_i (initial_condition, *t*) and a particular solution s_i^* (inhomogeneous_term, *t*). The solutions in the period *k* are given by

$$
s(t) = \begin{cases} s_1(s(kT), t') + s_1^*(\lambda_1 x, t'), & t' \in (0, t_1] \\ s_2(s(kT + t_1), t' - t_1) + s_2^*(\lambda_2 x, t' - t_1), \\ & t' \in (t_1, t_2] \\ \dots \\ s_m(s(kT + t_m), t' - t_m) + s_m^*(\lambda_m x, t' - t_m), \\ & t' \in (t_m, T] \end{cases}
$$
(10)

where $t' = t + kT$.

(b) The transient signal component $\bar{s}(t)$ is then determined. The value of $\bar{s}(t)$ at the midpoint of each period is determined as the average throughout the period, given by

$$
\bar{s}(kT + \frac{1}{2}T) = \frac{1}{T} \int_{kT}^{(k+1)T} s(t) dt
$$
 (11)

Since the value of \bar{s} at $t = kT + 0.5T$ are determined, the full $\bar{s}(t)$ can be obtained by the cubic spline interpolation. Moreover, since \mathcal{L}_n is linear, its solution $s(t)$ is correlated linearly with the excitation inputs x , and so does $\bar{s}(t)$ as it correlated linearly with *s*(*t*):

$$
\overline{s}(t) \propto x(t). \tag{12}
$$

(c) The period signal component $\tilde{s}(t)$ is finally calculated according to (6) .

$$
\widetilde{\mathbf{s}}(t) = \mathbf{s}(t) - \overline{\mathbf{s}}(t) \tag{13}
$$

(9) the Buck converter can be expressed as a piecewise ODE To be specific, the Buck converter is taken as an example. The form of its output signal $\overline{u}_R(t) = \overline{u}_C(t)$ can be approximately derived. $C = 0$ is assumed for simplification. According to the ON and OFF states of the power switch,

corresponding to (9) , given by

$$
\frac{L}{R}u'_R + u_R = \begin{cases} u_s, & t \in (kT, kT + t_{\text{on}}] \\ 0, & t \in (kT + t_{\text{on}}, (k+1)T] \end{cases}
$$
(14)

where $t_{on} = DT$. The solutions of [\(14\)](#page-4-1) are given by

$$
u_R(t) = \begin{cases} u_R(kT)e^{-\frac{t-kT}{\tau}} + u_s(1 - e^{-\frac{t-kT}{\tau}}), \\ t \in (kT, kT + t_{\text{on}}] \\ u_R(kT + t_{\text{on}})e^{-\frac{t-kT - t_{\text{on}}}{\tau}}, \\ t \in (kT + t_{\text{on}}, (k+1)T] \end{cases}
$$
(15)

where $\tau = \sqrt{\frac{1}{2}}$ *L*/*R*. It can be observed that the solutions are consistent with [\(10\)](#page-3-4).

Based on Lagrange's mean value theorem, the average of each period can be approximated by the average of peaks and troughs. Assuming that $u_R(kT + t_{on}) = u_{\text{peak }k}$ and $u_R(kT) =$ $u_{\text{trough }k}$, with [\(11\)](#page-3-5), the average can be calculated as

$$
\overline{u}_R(kT + \frac{1}{2}T) = \frac{1}{T} \int_{kT}^{(k+1)T} u_R(t)dt
$$

$$
\approx \frac{u_{\text{peak }k} + u_{\text{trough }k}}{2}
$$

$$
\approx u_s D(1 - e^{-\frac{T}{\tau}k}) \frac{1}{2} (1 + e^{-\frac{T - t_{\text{on}}}{\tau}}) \quad (16)
$$

where

$$
u_{\text{peak }k} = u_{\text{peak }k-1}e^{-\frac{T}{\tau}} + u_s(1 - e^{-\frac{t_{\text{on}}}{\tau}})
$$

$$
= u_s(1 - e^{-\frac{t_{\text{on}}}{\tau}}) \frac{1 - e^{-\frac{T}{\tau}k}}{1 - e^{-\frac{T}{\tau}}}
$$

$$
\approx u_s D(1 - e^{-\frac{T}{\tau}k})\tag{17}
$$

$$
u_{\text{trough }k+1} \approx u_s D(1 - e^{-\frac{T}{\tau}k})e^{-\frac{T-t_{\text{on}}}{\tau}}.
$$
 (18)

Finally, the full signal of $\overline{u}_R(t)$ can be obtained by interpolation, while the period signal component can be calculated by

$$
\widetilde{u}_R(t) = u_R(t) - \overline{u}_R(t).
$$
 (19)

III. PROPOSED MODELING METHODOLOGY

A. Modeling Approach For Transient Signals

As was depicted in Section $II-B$, the transient signals are aperiodic, slow-changing, and proportional to the excitation inputs according to (12) . Introducing the biases about linear systems can make the model understand the intrinsic characteristics of the system and therefore improve the generalization performance of the NNs.

As is shown in Fig. [4,](#page-5-0) the transient signal model $\overline{\mathcal{F}}(\mathbf{x}(t); \mathbf{p})$ has introduced such inductive biases about linear systems. A group of simple fully-connected NNs is trained to map the parameter inputs into the linear system characteristics. The outputs are computed according to the linear system characteristics, excitation inputs and initial conditions.

In this section, the building and predicting processes of the transient signal model are illustrated respectively, and then a Buck converter example is presented.

1) Three Steps to Building the Transient Signal Model: First is to generate the output signal dataset that reflects the linear system characteristics. Such characteristics can be depicted by the zero-state unit impulse responses $h_{\text{zs}}(t)$ and the zero-input unit state responses $h_{zi}(t)$. For an *n*th-order DC-DC converters with c_x channels of excitation inputs, the $h_{\text{zs}}(t)$ and $h_{\text{zi}}(t)$ can be generated by numerical methods or experimental measurements, as given by

$$
\boldsymbol{h}_{\text{zs}}(t; \, \boldsymbol{p}) = \overline{\mathcal{F}}'(\varepsilon(t) \boldsymbol{I}_c; \, \boldsymbol{p}), \overline{\mathbf{s}}(0) = \boldsymbol{0}_{n \times c} \tag{20}
$$

$$
\mathbf{h}_{zi}(t; \mathbf{p}) = \overline{\mathcal{F}}(\mathbf{0}_n; \mathbf{p}), \overline{\mathbf{s}}(0) = \mathbf{I}_n \tag{21}
$$

where $h_{zs}(t)$ is a $n \times c_x$ function matrix and is generated by differentiating the unit step responses, $h_{zi}(t)$ is a $n \times n$ function matrix, they can be concatenated into a $n \times (c_x + n)$ function matrix $h(t)$. I_j is the *j*th-order unit matrix, and $\varepsilon(t)$ is the unit step signal.

Then, the system characteristics $h(t)$ are compressed into finite dimensions vectors p_{imp} since they are infinite-length signals and cannot be directly treated as the output of NNs. Two methods of compression for $h(t)$ are proposed.

(a) The first method is to simply discard the close-to-zeros part and downsample into a finite-length vector, as $h(t)$ usually tends to diminish to zero because of the inevitable energy losses in a source-free system. This process is specified by $p(h(t))$

$$
\boldsymbol{p}_{\text{imp}} = p(\boldsymbol{h}(t)), \quad \text{if } \lim_{t \to +\infty} \boldsymbol{h}(t) = \boldsymbol{0} \qquad (22)
$$

(b) Another method is to fit the impulse response $h(t)$ into analytic parameters, in which the order of the linear system has to be known. e.g. For a 2nd order linear ODE, in the over-damping case, the $h(t)$ are in the form of

$$
h(t; \mathbf{p}_{\text{imp}}) = C_1 e^{\lambda_1 t} + C_2 e^{\lambda_2 t} + C_3,
$$

$$
\mathbf{p}_{\text{imp}} = [C_1, C_2, C_3, \lambda_1, \lambda_2]^{\text{T}}.
$$
 (23)

The gradient descent method under PyTorch is used to find out those parameters p_{imp} , choosing the Adam optimizer and MSE loss. The key that guarantees the iteration convergence is to set a proper initial value $(0,0,0,0.1,1,$ respectively) and learning rate $(0.01$ for λs and 0.1 for *Cs*).

Finally, fully-connected NNs are trained to map parameter inputs into system characteristics. There are overall $n \times (c_x + n)$ fully-connected NNs to be trained, as given by

$$
\boldsymbol{p}_{\rm imp} = f_{\rm DNN}(\boldsymbol{p}).\tag{24}
$$

Commonly-used training methods, such as Adam optimizer and mean square error (MSE) loss, can be used for the proposed fully-connected NNs.

2) The Predicting Process of the Transient Signal Model: First, the system characteristics $h(t)$ are predicted by the fully connected NNs, specified as

$$
h(t) = p^{-1}(p_{\text{imp}})
$$

= $p^{-1}(f_{\text{DNN}}(p))$ (25)

where p^{-1} is the decompress process.

Next, since the system characteristics $h_{zs}(t)$ and $h_{zi}(t)$ are predicted, the overall outputs of the system can be calculated as a superposition of a variety of responses, given by:

$$
\overline{s}(t) = h_{zs}(t; p) * x(t) + h_{zi}(t; p)\overline{s}(0),
$$

\n
$$
\overline{s}(0) = a
$$
\n(26)

where ∗ is the signal convolution operator that follows the rules of matrix multiplication. *x* is a $c_x \times 1$ function vector, and \boldsymbol{a} is a $n \times 1$ vector.

In (26) , the parameter inputs p are all fixed. However, variable parameter inputs such as a sudden step change of load have to be considered as well. The variable parameter inputs would impose a dynamic variation on the system characteristics while the system states remain static. In the proposed method, the parameter changes are treated as events that update system characteristics and restart the signal convolution. For example, if there is a sudden step of load specified as $p \stackrel{t_e}{\rightarrow} p'$, the outputs can be computed as

$$
\overline{s}(t) = \begin{cases} \mathbf{h}_{\text{zs}}(t; \mathbf{p}) * \mathbf{x}(t) + \mathbf{h}_{\text{zi}}(t; \mathbf{p})\overline{\mathbf{s}}(0), & t \in (0, t_e) \\ \mathbf{h}_{\text{zs}}(t; \mathbf{p}') * \mathbf{x}(t) + \mathbf{h}_{\text{zi}}(t; \mathbf{p}')\overline{\mathbf{s}}(t_e), & t \in (t_e, +\infty) \end{cases}
$$
\n(27)

3) Modeling the Buck Converter as an Example: To be specific, the Buck converter is again taken as an example. The basic equations of the transient model for the Buck converter are given as follows, which corresponds to (20) , (21) and (26) .

$$
\begin{cases}\n[h_{Czs}(t), h_{Lzs}(t)]^{\mathrm{T}} = \overline{\mathcal{F}}'(\varepsilon(t); \, p), \, a = [0, 0]^{\mathrm{T}} \\
[h_{C1zi}(t), h_{L1zi}(t)]^{\mathrm{T}} = \overline{\mathcal{F}}(0; \, p), \, a = [1, 0]^{\mathrm{T}} \\
[h_{C2zi}(t), h_{L2zi}(t)]^{\mathrm{T}} = \overline{\mathcal{F}}(0; \, p), \, a = [0, 1]^{\mathrm{T}}\n\end{cases} \tag{28}
$$

$$
[\overline{u}_C(t), \overline{i}_L(t)]^{\text{T}} = [h_{Czs}(t), h_{Lzs}(t)]^{\text{T}} * u_s(t)
$$

+ $[h_{C1zi}(t), h_{L1zi}(t)]^{\text{T}} u_C(0^-)$
+ $[h_{C2zi}(t), h_{L2zi}(t)]^{\text{T}} i_L(0^-),$ (29)

where initial conditions $\mathbf{a} = [u_C(0), i_L(0)]^T$, $\mathbf{h}_{zs}(t)$ is a 2 × 1 function matrix and $h_{zi}(t)$ is a 2 × 2 function matrix for the Buck converter model.

Suppose that the load resistance R changes to R' at the moment *te*:

$$
p = [R, L, C, T] \xrightarrow{t_c} p' = [R', L, C, T],
$$
 (30)

when $t \in (0, t_e)$, the outputs $[u_C(t), i_L(t)]$ can be computed by [\(29\)](#page-5-2). And since the states $u_C(t_e)$, $i_L(t_e)$ are known, when $t \in (t_e, +\infty)$, the outputs could be computed as

$$
\begin{aligned} [\overline{u}_C(t), \overline{i}_L(t)]^{\mathrm{T}} &= [h'_{Czs}(t), h'_{Lzs}(t)]^{\mathrm{T}} * u_s(t) \\ &+ [h'_{C1zi}(t), h'_{L1zi}(t)]^{\mathrm{T}} u_C(t_e) \\ &+ [h'_{C1zi}(t), h'_{L1zi}(t)]^{\mathrm{T}} i_L(t_e), \end{aligned} \tag{31}
$$

B. Modeling Approach for Periodic Signals

The periodic signals result from complicated switching events and range widely in frequencies and amplitudes with high sampling rates. It's difficult for common sequential NNs to generate long sequences without gradient vanishing problems, and to generalize across a wide domain of inputs.

Fig. 4. Structure of the proposed transient signal model.

Fig. 5. Structure of the proposed periodic signal model.

In addition, the speed of prediction is also a major challenge. Hence, learning bias about frequencies and amplitudes has to be introduced.

In this section, the NN structure of the proposed periodic signal model is introduced first, and the processes of building and predicting are also illustrated respectively.

1) The NN Architecture of the Periodic Signal Model: As shown in Fig. [5,](#page-5-3) a multi-layer architecture that consists of an LSTM layer and a set of parallel upsampling CNNs (also called generators) is proposed to model the periodic signals. In the periodic model, the excitation inputs and parameter inputs are treated equally and concatenated into u_k , which is different from the transient model. LSTM f_{ar} is used to generate latent periodic signal features *o*, such as amplitude and shape. The generators *G* then convert those latent representations into raw periodic signal chunks $\widetilde{s}_{\text{raw }k}$ concurrently.

In the proposed NN structure, LSTM is chosen rather than other sequential NNs such as Transformer Decoder to accelerate the speed of predictions. Even though LSTM has the potential to model infinite dimension vectors (signals), its memory (receptive field) is not long enough to gain a full overview of signals, especially at a high resolution. To address this issue, CNN [\[20\] is](#page-10-19) used to embed chunks of signals into latent representations. Transposed CNN, which is a special kind of upsampling CNN, is used to recover the chunk of signal from its latent representations. With the LSTM working in latent space, the CNNs are able to generate high-resolution signals chunk-by-chunk by predicting signal features in the compressed latent space, thus could be faster than single-layer sequential networks.

Fig. 6. The training method of InfoGAN.

2) Three Steps to Building the Periodic Signal Model: First is to generate the training set with random parameter and excitation inputs u_k . The output signals generated by numerical methods or experiments are preprocessed before getting used as the training data.

(a) Periodic signals in the training set are resampled into a fixed frequency *f*train according to the receptive field of CNNs w , in which the number of periods in each receptive field k_p is fixed, given by

$$
f_{\text{train}} = \frac{rk_p}{w}.\tag{32}
$$

- (b) The signals are zoomed vertically into the same amplitude, with their original amplitudes logged as α . This step could be skipped in some cases where the amplitude range is small.
- (c) The signals are chopped into equilong chunks $\tilde{s}_{raw\ k}$, in which the chunk length is equal to the CNN receptive field w.

Then, The CNN generators are then trained based on an unsupervised technique called InfoGAN [\[33\]. I](#page-10-33)nfoGAN is an information-theoretic extension of the original GAN which encourages it to learn interpretable and meaningful representations, making the training process easier. As is shown in Fig. [6,](#page-6-1) InfoGAN consists of a Generator *G*(*o*), a Discriminator $D(\widetilde{s}_{raw})$, and an Encoder $Q(\widetilde{s}_{raw})$. *G* is a transpose CNN, while others are normal CNNs. The *G* tries to generate samples that make *D* not discriminable based on random noise *o*. *D* and *Q* are CNNs that share the first few layers. *D* discriminates whether the sample is generated by *G* or from the dataset. *Q* tries to restore *o* from the samples that *G* generated, in order to make sure that *o* is not lost while generation, such that, samples that are not relevant to the latent representations won't be generated (over-fitting). The training process of the InfoGAN is as Algorithm [2.](#page-6-2)

Finally, The LSTM layer f_{ar} is trained based on the trained encoders Q , as is illustrated in Fig. $7(a)$ and Algorithm [3.](#page-7-0) An additional fully-connected NNs *f*amp is also trained to predict α if the data has been zoomed vertically.

3) The Predicting Process of the Periodic Signal Model: The predicting process is an inversion of training, as illustrated in Fig. $7(b)$. First, the input sequence u_k is fed into LSTM f_{ar} and turned into the latent representations \boldsymbol{o} . The amplitude α is also predicted. Then, $\widetilde{s}_{\text{raw}}$ is generated by *G*, and zoomed back into the original amplitudes α . Finally, these signal segments are resampled into $\tilde{s}(t)$ to be aligned with the periodical Algorithm 2 Minibatch Stochastic Gradient Descent Training of InfoGAN. N Is Batch Size

- 1: **for** $i = 1$ to training iterations **do**
- 2: Sample a batch of *N* vectors *o* from random distribution as a latent representation.
- 3: Get a batch of *N* samples \tilde{s}_{raw} from the dataset.
4. Undate θ_D by ascending the entropy loss:
- Update θ_D by ascending the entropy loss:

 ∇_{θ_D} ave[log $D(\widetilde{s}_{\text{raw}}) + \log(1 - D(G(o)))$]

5: Update θ_G by ascending the entropy loss:

$$
\nabla_{\theta_G} \text{ave}[\log(1 - D(G(o)))]
$$

6: Update $\theta_G \& \theta_Q$ by ascending the MSE loss:

 $\nabla_{\theta_{G,Q}}$ ave[mse($\boldsymbol{o}, Q(G(\boldsymbol{o})))$]

7: end for

(a) The training process of the LSTM layer. The parameters of Q are fixed.

(b) The predicting process of the LSTM layer.

Fig. 7. The training and predicting process of the LSTM layer.

TABLE I RANGE OF PARAMS IN THE DATASETS

| Param | | $u_s(V)$ $D(\%)$ $f(kHz)$ $R(\Omega)$ $L(mH)$ $C(\mu F)$ | | | |
|-------|-------------------------|--|--------|--------|--|
| Range | $50-100$ 10-90 5-20 | | $1-10$ | $1-10$ | |

switching events. This process can be specified by

$$
\mathbf{o}_k = f_{\text{ar}}(\mathbf{u}_k),\tag{33}
$$

$$
\alpha_k = f_{\text{amp}}(\boldsymbol{u}_k) \tag{34}
$$

$$
\widetilde{s}_{\text{raw } k} = G(o_k) \alpha_k, \tag{35}
$$

$$
\widetilde{\mathbf{s}}(t) = \text{resample}(\widetilde{\mathbf{s}}_{\text{raw}}, f/f_{\text{train}}) \tag{36}
$$

IV. EXPERIMENTAL VERIFICATION

A. Data Acquisition and Preprocessing

MATLAB/Simulink is used to simulate a Buck converter with parameter settings given in Table [I,](#page-6-4) and the simulated results are sampled to generate datasets in mat 7.3 formats. The output signals u_C and circuit parameters p are sampled in the size of $(N, E, S) = (8000, 1, 90000)$ and $(N, E) = (8000, 5)$ respectively, where *N*, *E* and *S* are the sample number, channel number, and sequence length respectively.

 $7[°]$ end for 8: end for

Three different training settings are configured to generate and record data of u_C and p , and they are as follows.

- (a) The parameter inputs p are set as random, while the u_s input is set as step signal.
- (b) The initial voltage of the capacitor is set as $u_C(0) = 50V$.
- (c) The initial current of the inductor is set as $i_L(0) = 1A$.

Data processing includes 5 steps, and they are as follows. First, the outputs of $u_C(t)$ are decomposed into transient and periodic components by using the proposed peak-averaging digital filter Algorithm [1](#page-3-1) detailed in Section [II-B.](#page-3-6) Second, the transient signals of step responses are differentiated into impulse responses and compressed for training the transient model. Third, the periodic signals are chopped into chunks with $k_p = 20$. Fourth, the periodic segments are resampled into the same length as the receptive field w of the discriminator and the resampling rate is recorded as γ for training the periodic signal model. Fifth, the amplitudes of periodic segments are normalized and then fed into the discriminator network for the training of the periodic signal model.

B. NN Settings

Multiple 1-dimensional CNN generators/discriminators with various receptive fields are designed for the periodic signal model. The InfoGAN v1 is a lightweight structure with a receptive field $w = 398$. The InfoGAN v2 is a deeper structure with a receptive field $w = 798$. The InfoGAN v3 and later are deep, residual [\[34\] st](#page-10-34)ructures with larger receptive fields. The length of the receptive field is chosen based on the specific requirements of precision. In the Buck converter case, the InfoGAN v2 is selected, and its detailed structure is shown in Table [II.](#page-7-1)

For the 1D convolutional GANs, a fully-connected hidden layer is necessary, which is different from the guidelines in 2D DCGAN [\[35\]. W](#page-10-35)e used the Adam optimizer with a learning rate of 0.001 and trained them for 200 epochs.

Table [III](#page-7-2) shows the design of the LSTM layer f_{ar} in the periodic model and the fully-connected networks in the transient model f_{DNN} .

C. Prediction Results and Error Analyses

The predictions of periodic and transient signals are shown in Fig. [8](#page-8-0) and Fig. [9](#page-8-1) respectively. The circuit parameters can be

TABLE II THE DETAILED STRUCTURE OF INFOGAN V2

| Discriminator D/ Encoder O | Generator G |
|-------------------------------------|--|
| Input $1 \times 798 \times 1$ ES | Input $\in \mathbb{R}^{16}$ |
| 4×1 conv. 16 IRELU, stride | FC. 6144 ReLU. batchnorm |
| 2×1 | |
| 4×1 conv. 32 IRELU, stride | reshape $128 \times 48 \times 1$ ES. |
| 2×1 | |
| 4×1 conv. 64 IRELU, stride | 3×1 upconv. 96 RELU. stride |
| 2×1 | 1×1 . batchnorm |
| 4×1 conv. 128 IRELU. | 4×1 upconv. 64 RELU. stride |
| stride 2×1 | 2×1 . batchnorm |
| Flatten, FC, 128 | 4×1 upconv. 32 RELU. stride |
| | 2×1 . batchnorm |
| FC. output layer for D, FC. | 4×1 upconv. 16 RELU, stride |
| 128-FC.output for Q | 2×1 . batchnorm |
| | 4×1 upconv. stride 2×1 . |
| | batchnorm |

TABLE III

THE DETAILED STRUCTURES OF *f*ar AND *f*DNN

| LSTM for the periodic model | Dense NN for the transient model |
|------------------------------------|----------------------------------|
| Input $5 \times s$ ES | Input $\in \mathbb{R}^4$ |
| Linear. 256 | FC. 256 IRELU |
| LSTM. 256 tanh | FC. 256 IRELU |
| FC 8 RELLI | FC. 100 IRELU |

TABLE IV EVALUATION OF ERROR WITH 32 RANDOM TEST SAMPLES

arbitrarily set, while the duty cycle is randomly step changed every 0.03s. The resolution is set to 10^6 /s. The blue curve indicates the predicted result, while the red curve indicates the ground truth plotted with the datasets. By adding the predictions of transient and periodic signals, the prediction of the full signal $u_C(t)$ is depicted in Fig. [10.](#page-9-0) It can be observed that blue curves fit the red ones under different scenarios even though errors exist. The error is measured by mean absolute error (MAE), relative absolute error (RAE) and mean absolute percentage error (MAPE), which are listed in the figure captions. The MAPE cannot be calculated for periodic signals because the ground truth can be zero.

Apart from the examples shown in Fig. [8,](#page-8-0) Fig. [9](#page-8-1) and Fig. [10,](#page-9-0) 32 random test samples are evaluated in total. The results are shown in Table [IV.](#page-7-3) Qualitative analyses about the key factors for errors and possible solutions are given as follows.

(a) The noise induced by signal decomposition. The proposed decomposition method is based on averaging and interpolation, which may introduce noise into the dataset and contributes to the prediction error, especially when transient signals are changing rapidly. e.g. In the periodic signal dataset, the amplitudes are not static due to the noises resulting from decomposition, the fully-connected NN *f*amp would thus suffer from low precision. Such an issue may be addressed by unifying the transient and periodic model to avoid signal decomposition.

(a) $L = 9.07$ mH, $C = 1 \mu$ F, $T = 8.16 \times 10^{-5}$ s, $u_s = 87.21$ V, $R = 6.90 \Omega$, $D = 0.90$. MAE = 0.010 V, RAE = 0.62.

(b) $L = 9.07 \text{mH}$, $C = 1 \mu \text{F}$, $T = 8.16 \times 10^{-5} \text{s}$, $u_s = 87.21 \text{V}$, $R = 6.90 \Omega$, $D = 0.82$. MAE = 0.008 V, RAE = 0.19.

Fig. 8. The predictions of the periodic signal model $\tilde{u}_C(t)$.

- (b) The over-fitting issue and unsmooth latent spaces. In the proposed periodic signal model, even though InfoGAN does help to alleviate over-fitting issues, the problem still exists, which contributes to the prediction error. Unsupervised training techniques may make latent space unsmooth and thus difficult for the LSTM layer to converge. Such issues may be addressed by shrinking the latent space dimensions and increasing the number of parameters in the LSTM layer. Another solution to this issue is to introduce supervised learning approaches such as conditional GAN.
- (c) The compression of system characteristics. In the proposed transient signal model, the compression of $h(t)$ would result in a loss of information, and introduce another term of error. However, a more precise compression setting may help to mitigate this issue.

D. Time Complexity and Speed Analyses

To evaluate the performance of the proposed modeling method, a comprehensive comparison is made with the numerical approach by taking the time complexity and computation

(a) *D* is varied as 0.9, 0.82 and 0.47 with $L = 9.07$ mH, $C = 1 \mu$ F, $T = 8.16 \times 10^{-5}$ s, $u_s = 87.21$ V and $R = 6.90$ Ω . MAE = 0.797 V, $MAPE = 1.37\%, RAE = 0.052.$

(b) *D* is varied as 0.9, 0.64 and 0.83 with $L = 9.53$ mH, $C = 1 \mu$ F, $T = 6.78 \times 10^{-5}$ s, $u_s = 94.61$ V and $R = 6.60$ Ω . MAE = 0.481 V, $MAPE = 0.68\%, RAE = 0.048.$

(c) *D* is varied as 0.9, 0.61 and 0.92 with $L = 7.11$ mH, $C = 1 \mu$ F, $T = 5.88 \times 10^{-5}$ s, $u_s = 52.35$ V and $R = 7.33$ Ω . MAE = 0.392 V, $MAPE = 1.10\%, RAE = 0.055.$

Fig. 9. The predictions of the transient signal model $\overline{u}_C(t)$ with random *D* variations and circuit parameter settings.

Time into consideration. A numerical approach based on the widely-used Forward Euler's method is used [\[10\].](#page-10-9)

Supposed there are *S* timesteps in the simulation and there are n_s active and passive switches, n_v nodes, n_b branches, and *n* components having state variables (order of the circuit) in the PE converters, p_d is the probability of state change of the passive switches. For example, $n_v = 4$, $n_b = 6$, $n_s =$ 2, $n = 2$, $p_d = f/r \in (0.005, 0.02)$ for a Buck converter. The computation cost of the numerical-based Forward Euler's method can be calculated based on Table [V.](#page-9-1) There are six steps and their corresponding computation cost are highlighted in the table. Such that, the overall cost can be calculated by taking the sum and given by

$$
O(S(n + n_s + (1 + p_d)(2n_b + n_v - 1)^3))
$$
 (37)

On the other hand, the computation cost of the proposed method can be evaluated as follows. Supposed there are c_p channels of parameter inputs, c_x channels of excitation

(a) *D* is varied as 0.9, 0.82 and 0.47 with $L = 9.07$ mH, $C = 1 \mu$ F, $T = 8.16 \times 10^{-5}$ s, $u_s = 87.21$ V and $R = 6.90 \Omega$. MAE = 0.794 V, $MAPE = 1.66\%, RAE = 0.053.$

(b) *D* is varied as 0.9, 0.64 and 0.83 with $L = 9.53$ mH, $C = 1 \mu$ F, $T = 6.78 \times 10^{-5}$ s, $u_s = 94.61$ V and $R = 6.60$ Ω . MAE = 0.558 V, $MAPE = 1.15\%, RAE = 0.056.$

(c) *D* is varied as 0.9, 0.61 and 0.92 with $L = 7.11$ mH, $C = 1 \mu$ F, $T = 5.88 \times 10^{-5}$ s, $u_s = 52.35$ V and $R = 7.33$ Ω . MAE = 0.508 V, $MAPE = 1.74\%, RAE = 0.072.$

Fig. 10. The predictions of the overall signal $u_C(t)$.

TABLE V

TIME COMPLEXITY ANALYSIS OF THE FORWARD EULER METHOD

| Step | Operations | Costs |
|----------------|---|------------------------|
| | Solving the output equations of the state | O(n) |
| | components. | |
| -2 | Check out the states of the switches. | $O(n_s)$ |
| $\overline{3}$ | Update the incidence matrix A of the | O(1) |
| | circuit. | |
| 4 | Solve the KCL, KVL and branch | $O((2n_b+n_v-1)^3)$ |
| | equations, using the LU factorization | |
| | method. | |
| 5 | Checkout if any passive switches were | $O(p_d(2n_b+n_v-1)^3)$ |
| | changed, if so, repeat the last step | |
| 6 | Update the state equations of compo- | O(n) |
| | nents | |

inputs, c_s channels of outputs, and w is the receptive field of transposed CNNs. For the buck converter, the corresponding parameters are $c_p = 3, c_i = 2, c_s = 1, w = 798$. The computation cost of the proposed method can be calculated based on Table [VI.](#page-9-2) Basically, there are four steps and their

TABLE VI TIME COMPLEXITY ANALYSIS OF THE PROPOSED MODEL

| | Index Operations | Costs |
|---------------|--|-------------------|
| | Fully-connected layer of the transient | $O(c_n)$ |
| | model. | |
| \mathcal{D} | Signal convolution of the transient $\mid O(nS)$ | |
| | model. | |
| 3 | LSTM layer of the periodic model. | $O((c_p+c_s)S/w)$ |
| | Generator layer of the periodic model. | $O(c_s S/w)$ |

TABLE VII SPEED TEST RESULTS OF THE BUCK CONVERTER MODELS

corresponding computation cost are highlighted in the table. Such that, the overall cost can be calculated by taking the sum and given by

$$
O(c_p + nS + (c_p + c_x + c_s)S/w) \tag{38}
$$

To sum up, the time complexity of the Forward Euler's method correlates linearly with the number of timesteps, and by order of 3 correlates with the number of nodes and branches. The number and frequencies of passive switches also have an impact. The time complexity of the proposed method only correlates linearly with the number of channels and timesteps, while the circuit topologies are not relevant. Under current settings, if the number of timesteps *S* is considered, the time complexity of the proposed method is *O*(2.007*S*), which is a lot smaller than the Forward Euler's method *O*(3448.5*S*).

A comparison of practical execution time is also made between the proposed method and the Forward Euler's methods under different platforms or settings. To make the comparison fair enough, all the methods are run on an identical platform, i.e., PyTorch platform. In addition, the commercially optimized Forward Euler's method in Matlab/Simulink is also considered. Each method is tested 32 times and the average of the results is taken. The simulation time and sampling rate are set as 0.09 s and $10⁶/s$ respectively. The hardware configurations are Intel Core i9-12900K CPU and Nvidia GeForce RTX 3090Ti. The results are summarized in Table [VII.](#page-9-3) The costs of the first time are listed since they include the time for PyTorch and Simulink loading the model and are longer than others. It can be observed that the proposed method is about 10 times faster than the Forward Euler's method in PyTorch platform. Even compared with the commercially optimized Backward Euler's method on the Matlab/Simulink platform, the proposed method is faster.

V. CONCLUSION

This work proposes a novel hybrid PiML approach for the non-isolate DC-DC converters, which supports configurable parameter settings, accelerates the speed and can generate refined ripples. The approach decomposes the output signals into transient large signals and periodic small signals. For transient large signals, a fully-connected NN is used to map circuit parameters with system characteristics, such that configurable circuit parameter settings are allowed. For periodic signals, LSTM together with CNN is used to accelerate the simulation by predicting signal features in the compressed latent space, such that refined ripples are generated. A buck converter with configurable parameter settings is modeled by the proposed hybrid PiML method to validate its efficacy. Experiments show that the approach is about 10 times faster than the numerical solvers based on the Forward Euler's method.

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Hanchen Ge was born in Huangshan, China, in 1999. He received the B.Eng. degree in electrical engineering and automation from North China Electric Power University, Beijing, China, in 2021. He is currently pursuing the M.Eng. degree in intelligent engineering with the Shien-Ming Wu School of Intelligent Engineering, South China University of Technology, Guangzhou, China. His current research interests include artificial intelligence (AI) techniques applied in power electronics.

Zhicong Huang (Member, IEEE) received the B.Eng. degree in electrical engineering and automation and the M.Eng. degree in mechanical and electronic engineering from the Huazhong University of Science and Technology, Wuhan, China, in 2010 and 2013, respectively, and the Ph.D. degree in power electronics from The Hong Kong Polytechnic University, Hong Kong, in 2018.

From January 2019 to February 2020, he was a Post-Doctoral Fellow with the UM Macao Talent Program, State Key Laboratory of Analog and

Mixed-Signal VLSI, University of Macau, Macao, China. He is currently an Associate Professor with the Shien-Ming Wu School of Intelligent Engineering, South China University of Technology, Guangzhou, China. His research interests include power electronics techniques in electric vehicles and power systems.

Dr. Huang received the Outstanding Reviewer Award from IEEE TRANS-ACTION ON POWER ELECTRONICS in 2021.

Zhihong Huang (Student Member, IEEE) received the B.Eng. degree in computer science and technology from Sichuan University, Sichuan, China, in 2020. He is currently pursuing the M.Sc. degree in computer science with the Department of Computer and Information Science, Faculty of Science and Technology, University of Macau, Macao, China. His current research interests include machine learning and artificial intelligence.