# Constant-Frequency and Noncommunication-Based Inductive Power Transfer Converter for Battery Charging

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Abstract-Compared with conductive charging, wireless inductive-power-transfer (IPT) charging exhibits higher potential as it avoids physical contact and provides convenient user experience. Regrettably, it is challenging for IPT converters to comply with the constant current (CC) and constant voltage (CV) charging profiles, while optimizing power efficiency. To achieve such goals, the existing IPT converters can apply multistage converter, dual side, or variable frequency modulation with feedback wireless communication. However, applying multistage converter increases cost and loss, while the stability of the IPT converter with dual side or variable frequency modulation can be at risk if communication fails. This article proposes a single-stage IPT converter for battery charging. With a constant operating frequency and without feedback wireless communication, the receiver side directly regulates the output to comply with the CC/CV charging profile, while the transmitter side aids in the reduction of the modulated phase shift angle at the receiver side, thus improving the efficiency. No wireless communication between the transmitter and receiver sides benefits both the hardware cost and stability. Also, we implement implicitly an output voltage regulation, further avoiding the need of an extra dc-dc converter. We verify experimentally the proposed control

Manuscript received February 3, 2020; revised April 2, 2020, May 19, 2020, and June 17, 2020; accepted June 18, 2020. Date of publication June 22, 2020; date of current version April 4, 2022. This work was supported in part by The Science and Technology Development Fund, Macau SAR (FDCT) under Grant 025/2017/A1 and SKL-AMSV Fund, and in part by the University of Macau under Grant MYRG2017-00090-AMSV and the UM Macao Postdoctoral Fellowship. Recommended for publication by Associate Editor Joseph O. Ojo. (*Corresponding authors: Chi-Seng Lam; Zhicong Huang.*)

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Digital Object Identifier 10.1109/JESTPE.2020.3004259

method in a 1-KW charging platform with a measured peak efficiency up to 94.35%.

*Index Terms*—Constant current (CC) charging, constant operating frequency, constant voltage (CV) charging, no wireless communication, wireless inductive-power-transfer (IPT) charging.

# I. INTRODUCTION

ITH fast growing of electronics device market, different ways of charging have attracted more and more attention. With benefits of safety and convenience, wireless charging occupies a great significant position in the electronics device market, such as mobile devices and autoguided vehicles [1]-[4]. Nowadays, inductive power transfer (IPT) system has been commonly used for wireless battery charging, which transfers power via magnetic coupling between the transmitter side and the receiver side [5]. Compared with traditional conductive charger, an IPT system can deliver power over an air gap instead of using plugs and cables, avoiding unsafe issues of electric damage and shock [6], [7]. In general, lithium-ion battery is widely used in most of the electronics applications. Its typical charging profile mainly includes a constant-current (CC) charging stage and a constant voltage (CV) charging stage, as shown in Fig. 1. Since the charging current at the completion of the CV charging stage is as low as 10% of its rated value, the equivalent load range of the battery is very wide, making a challenge to the IPT converter in providing a stable and efficient battery charging process.

To comply with the battery charging profile, it is an intuitive idea to add an extra dc–dc converter to the output terminal of the IPT converter for power regulation [8]–[11]. Obviously, extra losses and cost from additional power stage are inevitable such that the efficiency of the IPT system will be degraded. To avoid the extra power losses of the additional converter stage, a single-stage IPT converter can utilize its native loadindependent current (LIC) and load-independent voltage (LIV) transfer characteristics for CC charging and CV charging, respectively. In some studies [12], [13], the single-stage IPT converter includes hybrid compensation topologies and some active switches for the transition from the LIC mode to the LIV mode. For example, the IPT converter in [12] includes a series–series (SS) compensation circuit and a parallel–series

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			Type of IPT Compensation	Extra DC-DC Converter	Frequency Control	Wireless Communication	Soft Switching
Multi-stage [8]-[11]			SS	Yes	No	Yes	No
Load-independent transfer characteristic	Hybrid- topology	[12],[13]	Hybrid SS-PS/SP-PP	No	No	Yes	No
	Frequency- hopping	[14],[15]	SS, LCC-LCC	No	Yes	Yes	Yes
Dual-side phase-shift PWM [18]-[20]			SS	No	No	Yes	No
Coordinate frequency and conduction [21] angle			SS	No	Yes	Yes	Yes
This work			SS	No	No	No	Yes

 TABLE I

 Comparison Among Previous Works and This Work for Achieving IPT Charging

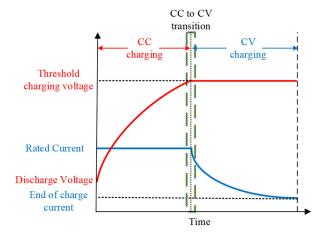


Fig. 1. Typical charging profile of lithium-ion battery, CC and CV charging.

compensation circuit for the CC charging and the CV charging, respectively. The drawback of such hybrid-compensation scheme is that the two sets of compensations circuits and the additional power switches increase the cost. To eliminate the extra power switches and the sparing compensation circuits, a single-stage IPT converter can operate at different operating frequencies for the LIC mode and the LIV mode [14], [15]. By hopping the operating frequency, CC charging and CV charging can be achieved without using hybrid compensation circuits. Nevertheless, efficiency optimization is not considered in both hybrid-compensation and frequency-hopping schemes, because the circuit cannot provide load matching ability [16], [17]. It is reported in [18] and [19] that the IPT converter with dual-sided active switches can provide output regulation as well as load matching for efficiency enhancement for a wide load range, but they suffer from hard switching, thus increasing the whole converter power loss. A novel dual-sided phase shift pulsewidth modulation (PWM) control is presented in [20], which achieves soft switching and improves the output performances, but it requires additional auxiliary branch circuits on the receive side, thus increasing the cost. In [21], a single-stage IPT converter can achieve power regulation, efficiency optimization and soft switching, by coordinately modulating the operating frequency in the primary side and the conduction angle in the secondary side. However, since frequency modulation is implemented in the primary side,

wireless feedback communication is needed, which is risky if the communication fails.

To address the drawbacks of the aforementioned IPT schemes [8]–[21] for battery charging, this article proposes a control strategy with constant-frequency and noncommunication-based single-stage IPT converter for battery charging as shown in Fig. 2. The semiactive rectifier (SAR) at the receiver side modulates the load impedance for direct power regulation, while the full-bridge inverter at the transmitter side operates at a constant switching frequency with phase shift PWM for efficiency optimization. The proposed control strategy enables stable CC and CV charging for the battery, and it has the following contributions and benefits.

- 1) A constant operation frequency for the whole charging profile simplifies the hardware design (Section IV).
- 2) No feedback wireless communication lowers the risk of instability during charging (Section IV).
- 3) Improved charging efficiency over a wide load range (Section V).
- 4) Fully soft switching is achieved at both the inverter and SAR of the SSIPT converter under simple control (Section V).
- 5) Immune to misalignment to achieve constant output voltage and current, while upholding a high efficiency (Section V).
- 6) Direct power regulation is provided at the receive side (Section IV).
- No extra dc-dc converter saves the hardware cost (Section I).

The comparison of the achievable features between our proposed scheme and the schemes in literature are summarized in Table I. Table II also shows the comparison of number of components among previous works and our proposed work.

The rest of this article is organized as follows. Section II describes the IPT system structure and the proposed control modulation techniques for battery charging. And charging efficiency and soft switching via the equivalent circuit model will be analyzed and discussed in Section III. In Section IV, the implementation and stability study of the proposed control scheme for the whole charging profile is presented, and the experimental verification of output waveforms and charging performance is given in Section V. Finally, Section VI concludes this article.

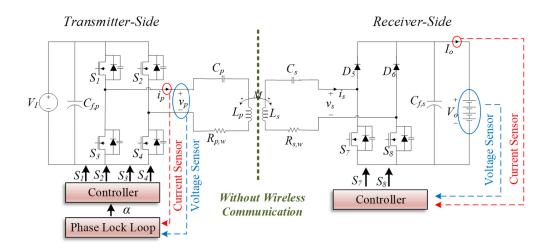


Fig. 2. Circuit configuration of SSIPT converter and its control scheme.

			No. Switches	Extra DC-DC Converter	No. Sensors	Wireless Communication Components
Multi-Stage		[8]	8	1	3	Yes
		[9]	6	1	3	Yes
		[10]	6	1	3	Yes
		[11]	7	2	1	Yes
Load-independent transfe	r Hybrid- topology	[12]	7	No	2	Yes
characteristic		[13]	6	No	1	Yes
	Frequency- hopping	[14]	4	No	2	Yes
		[15]	4	No	2	Yes
Dual-side phase-shift PWM [18]		6	No	3	Yes	
[19] [20]			8	No	4	Yes
			10	No	NA	NA
Coordinate frequency and conduction [21]		6	No	NA	Yes	
This work			6	No	4	No

 TABLE II

 Comparison of Number of Components Among Previous Works and This Work

# II. IPT System Structure and Proposed Control Modulation Techniques

#### A. SS Compensated IPT Converter

The circuit configuration of the SS IPT converter is shown in Fig. 2, which includes an input voltage source  $V_I$ , an input capacitor  $C_{f,p}$ , a full bridge inverter, a resonant tank with SS compensation, and an SAR with output capacitor  $C_{f,s}$ , where the subscript "p" and subscript "s" indicate the parameters at the transmitter side or at the receiver side, respectively. At the transmitter side, the full-bridge inverter includes four transistors  $S_1$ - $S_4$ , which are controlled by using the phase shift PWM, to invert the dc input voltage  $V_I$  into high-frequency ac voltage  $v_p$  at the transmitter side.  $i_p$  is transmitter side ac current. The loosely coupled transformer has primary self-inductance  $L_p$ , secondary self-inductance  $L_s$ , and mutual inductance M. For the conventional transformer, the coupling coefficient can be defined as  $k = (M/(L_p L_s)^{1/2})$ . Both winding coils of the loosely coupled transformer are series compensated by the series compensation capacitors  $C_p$ and  $C_s$ . The coil losses at the transmitter side and the receiver side are represented by resistors  $R_p$  and  $R_s$ , respectively. The resonant frequencies of the transmitter side and receiver side are given by

$$\omega_p = \frac{1}{\sqrt{L_p C_p}} \tag{1}$$

$$\omega_s = \frac{1}{\sqrt{L_s C_s}}.$$
(2)

At the receiver side,  $v_s$  and  $i_s$  are the receiver side ac current voltage and current. Then this high frequency ac power is converted into dc power by the SAR, which consists of two transistors  $S_7$  and  $S_8$  at lower part and two passive diodes  $D_5$  and  $D_6$  at upper part. The resonant circuit is a high Q circuit whose electrical characteristic is dominated by the fundamental component of a Fourier series representation of the actual waveform. Since the resonant circuit output voltage and current ( $v_s$  and  $i_s$ ) to the SAR are in phase, it is acceptable to model the load as a pure resistor. Moreover, the charging process is much slower than the resonant period of the SSIPT converter, thus the battery can be modeled as a resistor  $R_{\text{battery}}$  theoretically, which is determined by the dc

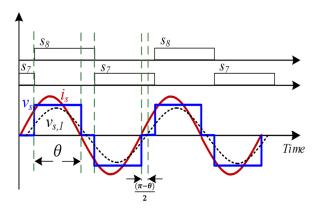


Fig. 3. Operating waveform of phase shift modulation at receiver-side SAR.

charging voltage  $V_O$  and the dc charging current  $I_O$  [21]–[23]

$$R_{\text{Battery}} = \frac{V_O}{I_O}.$$
 (3)

It aims to indicate the amount of power obtained from the charger. However, in the literature [14], [21]–[28], the battery is available to be modeled as a resistor.

# B. Control Modulation Techniques of SAR and Inverter

The SAR at the receiver side in Fig. 2 can regulate the output current by varying its conduction angle, thus it does not require an extra dc-dc converter for regulating the output voltage in comparison with those multistage designs [8]–[11]. The phase shift PWM technique is applied for controlling the SAR by controlling  $S_7$  and  $S_8$ .  $S_7$  and  $S_8$  are complement to each other and both kept turning on for half of a cycle.  $S_7$  is turned on with a time delay of  $\pi - \theta$  to the zero-crossing point where  $i_s$  commutating from positive to negative, while  $S_8$  is turned on with a time delay of  $\pi$ - $\theta$  to the zero-crossing point where  $i_s$  commutating from negative to positive. The switching sequences and operating waveforms are shown in Fig. 3.  $v_{s,1}$ is the fundamental component of  $v_s$ . From Fig. 3, it can be observed that  $v_{s,1}$  lags  $i_s$  by a phase angle of  $(\pi - \theta)/2$ . [29], [30]. Zero voltage switching (ZVS) can be achieved for the MOSFET switches of SAR [18], [31]–[33]. It has been studied in [21] that the SAR as well as the load can be modeled as an equivalent impedance, which can be expressed as

$$Z_{\rm eq} = R_{\rm eq} + j X_{\rm eq} \tag{4}$$

$$R_{\rm eq} = \frac{8}{\pi^2} R_{\rm Battery} \sin^4\left(\frac{\theta}{2}\right) \tag{5}$$

$$X_{\rm eq} = -\frac{8}{\pi^2} R_{\rm Battery} \sin^3\left(\frac{\theta}{2}\right) \cos\left(\frac{\theta}{2}\right). \tag{6}$$

As shown in (6), as the modulated phase shift angle  $\theta$  of the SAR decreases, the reactive component of the equivalent load will increase as well, leading to significant efficiency degradation. Therefore, a phase shift PWM proposed in [28], [34], and [35] will be adopted in the transmitter-side inverter part in this article to help for reducing the extent of modulated phase shift angle  $\theta$ .  $v_{p,1}$  is the fundamental component of  $v_p$ . The input phase angle  $\beta$  is derived based on considering

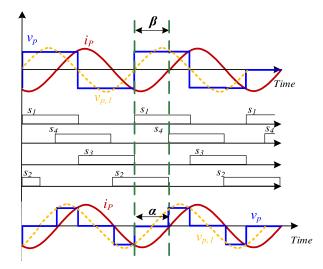


Fig. 4. Operating waveform of inverter (top) before and (bottom) after applying modulation at transmitter side.

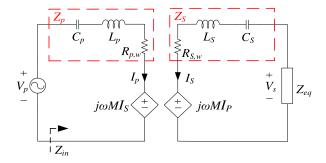


Fig. 5. Fundamental frequency equivalent circuit.

the fundamental waveforms of  $v_p$  and  $i_p$ . Before applying the phase shift PWM at the inverter,  $i_p$  lags the fundamental waveform of primary voltage  $v_{p,1}$  by a phase angle  $\beta$ . The maximum conduction angle of transmitter-side input voltage  $v_p$  is defined as  $\alpha$ . When the phase shift PWM is applied, the modulation of the inverter is done by changing the conduction angle  $\alpha$  according to the input phase angle. For the operation of switches in inverter,  $S_1$  and  $S_3$  are complement to each other. And  $S_4$  is turned on with time delay of  $\alpha$  related to  $S_1$ , while  $S_2$  is turned on with time delay of  $\alpha$  related to  $S_3$ , aiming to lead the  $v_p$  and  $i_p$  to be in phase, as shown in Fig. 4.

# III. IPT SYSTEM CIRCUIT MODEL, CHARGING EFFICIENCY AND SOFT-SWITCHING ANALYSIS

#### A. Equivalent Circuit Model

For steady-state analysis, the SSIPT converter can be simplified by only considering the fundamental components [37]–[39]. Fig. 5 shows the equivalent circuit model of SSIPT converter based on fundamental approximation. The phasors of fundamental components of  $v_p$ ,  $i_p$ ,  $v_s$ ,  $i_s$  can be represented as  $V_p$ ,  $I_p$ ,  $V_s$ , and  $I_s$ .  $\omega$ is the operating frequency of converter. For simplicity, the winding resistance of both coils are assumed to be small, which can be neglected for the following analysis, that is,  $R_{p,w} \approx R_{s,w} \approx 0$ . From Fig. 5

$$\boldsymbol{V}_p = \boldsymbol{Z}_p \boldsymbol{I}_p - j \boldsymbol{\omega} \boldsymbol{M} \boldsymbol{I}_s \tag{7}$$

$$0 = j\omega M \boldsymbol{I}_p - (\boldsymbol{Z}_s + \boldsymbol{Z}_{eq}) \boldsymbol{I}_s.$$
(8)

From (7) and (8), we can get the relationship between  $i_s$  and  $i_p$ 

$$I_s = \frac{j\omega M}{Z_{\rm eq} + Z_s} I_p \tag{9}$$

$$I_p = \frac{V_p}{Z_p + \frac{\omega^2 M^2}{Z_r + Z_m}} \tag{10}$$

where

$$Z_p = j\omega L_P + \frac{1}{j\omega C_P} + R_{P,w}, \quad Z_S = j\omega L_S + \frac{1}{j\omega C_S} + R_{S,w}$$

are the impedance at transmitter side and receiver side, respectively.

### B. Charging Efficiency and Soft-Switching

From Fig. 5, the corresponding charging efficiency of the SSIPT converter can be expressed as

$$\eta = \frac{I_s^2 R_{\rm eq}}{I_s^2 R_{\rm eq} + I_s^2 R_{S,w} + I_p^2 R_{p,w}}.$$
 (11)

Combined with (9)-(11), the charging efficiency can be simplified as (12), as shown at the bottom of the page.

To achieve maximum power efficiency,  $\omega_S$  is designed at its optimum operating frequency  $\omega_{opt}$  [8], [40] as

$$\omega_{\rm opt} = \omega_s. \tag{13}$$

And the maximum power efficiency can be achieved as

$$\eta_{\text{opt}} \approx \frac{1}{\frac{\sqrt{R_{p,W}R_{s,W}}}{\omega_{\text{opt}}M} + 1}} \tag{14}$$

if and only if  $R_{eq}$  and  $X_{eq}$  are fulfilled in their optimum values [21], which are given in the following equation:

$$R_{\rm opt} = \omega_{\rm opt} M \sqrt{\frac{R_{s,w}}{R_{p,w}}}$$
(15)

$$X_{\rm eq,opt} = \frac{1}{\omega_{\rm opt}C_s} - \omega_{\rm opt}L_s.$$
(16)

In addition, the modulated phase shift angle  $\theta$  of the SAR also affects the SSIPT converter charging efficiency, as given in Fig. 6. Since decreasing  $\theta$  will create larger equivalent load reactance, as indicated by (6), charging efficiency drops significantly, and vice versa. The solution for relaxing the effect of the modulated phase shift angle  $\theta$  will be discussed in Section IV-B.

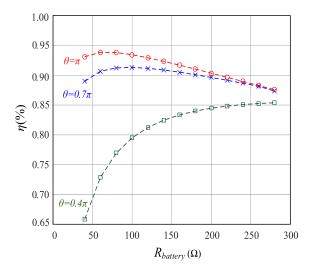


Fig. 6. Simulated charging efficiency versus load resistance  $R_{\text{Battery}}$  for various phase shift angle  $\theta$ .

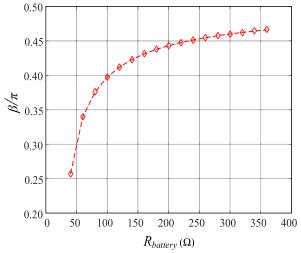


Fig. 7. Input phase angle  $\beta$  versus load resistance  $R_{\text{battery}}$ .

For the considerations of soft switching technique, the input impendence  $Z_{in}$  and its corresponding input phase angle  $\beta$  should be analyzed, which are given as

$$Z_{\rm in} = R_{p,w} + Z_p + \frac{(\omega M)^2}{R_{\rm eq} + R_{s,w} + (Z_s + jX_{\rm eq})} \quad (17)$$

$$\beta = \frac{1}{\pi} \arctan \left| \frac{\mathrm{Im}(Z_{\mathrm{in}})}{\mathrm{Re}(Z_{\mathrm{in}})} \right|.$$
(18)

By using the simulation parameters as in Table III, Fig. 7 shows the input phase angle versus load resistance with the phase shift modulation. As the input phase angle is always positive, that means its input impedance keeps inductive, ZVS can be naturally achieved not only at the SAR part, but also at the inverter part.

$$\eta = \frac{(\omega M)^2 R_{eq}}{(\omega M)^2 (R_{eq} + R_{s,w}) + R_{s,w} \left[ (R_{eq} + R_{s,w})^2 + \left( X_{eq} + \omega L_s - \frac{1}{\omega C_s} \right)^2 \right]}.$$
(12)

#### IV. IMPLEMENTATION OF CC AND CV CHARGING

# A. CC Charging

As the inherent CC output characteristic (also called LIC output characteristic [41]) of the SSIPT converter, during alignment case, the control of SAR and inverter is not necessary for CC charging. Operating in this way, the SSIPT converter can achieve the optimum efficiency at full power. Therefore, the SAR can be operate as a passive rectifier, that is

$$\theta = \pi. \tag{19}$$

While the operating frequency and the conduction angle of the inverter can be approximately fixed at

$$\omega = \omega_p \tag{20}$$

$$\alpha = 0 \tag{21}$$

respectively. During the CC charging period, no matter what the loading is, the phase shift and conduction angles for the SAR and inverter are kept at the same as  $\theta = \pi$ ,  $\alpha = 0$ . Fig. 8 shows the operating points of loading of  $R_{\text{battery}} = 10$ and 20  $\Omega$ , which keep  $\theta = \pi$ ,  $\alpha = 0$  during CC charging. An approximated CC output can be achieved by neglecting the coil losses and converter losses, given by

$$I_O \approx \frac{8}{\pi^2} \frac{|V_p|}{\omega_P M}.$$
(22)

In general, the ratio of  $(\omega_p/\omega_s)$  is set at unity to achieve LIC of the SSIPT converter. To realize ZVS of the MOSFET in the inverter during CC charging, the practical resonant frequency of transmitter side  $\omega_p$  is usually designed to be slightly smaller than resonant frequency of receiver side  $\omega_s$  $(\omega_{opt} = \omega_s)$ , without changing the operating frequency, which indicates the resonant frequency is slightly smaller than the operating frequency at the transmitter side [41]. Moreover, by locating the optimum efficiency point of the SSIPT converter at full power, that is,

$$R_{\rm opt} = \frac{V_{\rm rated}}{I_O}.$$
 (23)

 $V_{\text{rated}}$  is the threshold charging voltage of the battery. The maximum efficiency will be designed near the completion of the CC charging stage. Due to the relatively narrow load range during the CC charging process, the efficiency will not deviate from the maximum point too much even at the beginning of the CC charging. Therefore, high efficiency can be maintained for the whole CC charging process.

Indeed, when the misalignment happens, the output current of the SSIPT converter increases accordingly. From Fig. 9, the output current's monitoring and regulation is implemented for the controller at the receiver side. However, under misalignment case, the controller of SAR will work on output current regulation to maintain the dc output current by adjusting the phase shift angle  $\theta$  at SAR. Moreover, as the operation of SAR, the input phase angle becomes positive and the control of conduction angle  $\alpha$  at the inverter will be operated accordingly. The operation of inverter is similar with CV

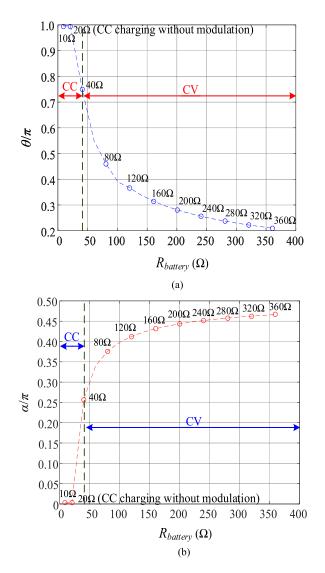


Fig. 8. (a) Simulation points of phase shift angle  $\theta$  versus battery resistance. (b) Simulation points of conduction angle  $\alpha$  versus battery resistance.

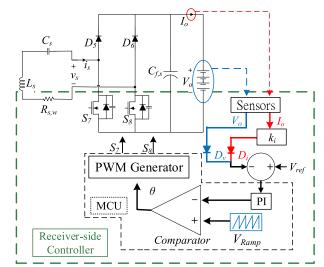


Fig. 9. Control diagram of SAR at receiver side.

charging stage, which will be discussed in Section IV-C in detail.

#### B. CV Charging

In order to comply with the CV charging profile as shown in Fig. 1, keeping the operating frequency of the inverter at a constant  $\omega_p$ , the charging current  $I_O$  will decrease with time. The conduction angle  $\theta$  of the SAR can be tuned within  $[0, \pi]$ . Thus, a controllable  $I_O$  can be achieved as

$$I_O = \frac{2}{\pi} \sin^2 \left(\frac{\theta}{2}\right) |I_s| \tag{24}$$

$$I_s \approx \frac{4}{\pi} \frac{V_p}{\omega_p M}.$$
(25)

Along with decreasing  $\theta$  and increasing  $R_{\text{Battery}}$ ,  $I_O$  can be regulated to maintain a constant  $V_O$ . According to (6), a load reactance will be generated with the modulation of  $\theta$ . With the analysis in Section III-B,  $X_{\text{eq}}$  will degrade the efficiency performance as the extent of modulated phase shift angle  $\theta$  increases, that is,  $\theta$  decreases. To address this problem, phase shift PWM is adopted in the inverter to modulate the input voltage magnitude, which can indirectly regulate the output voltage. By increasing  $\alpha$ , the magnitude of  $V_p$  will be decreased. Thereby,  $I_S$  will be decreased due to the decreasing of  $V_p$ . As shown in (24) and (25), except  $\theta$ ,  $I_O$  also can be adjusted by changing the magnitude of  $V_p$ , thus relaxing the extent of modulated phase shift angle  $\theta$ , in other words reducing the created load reactance,  $X_{\text{eq}}$ , given by

$$|\boldsymbol{V}_p| = \frac{4}{\pi} V_I \cos\left(\frac{\alpha}{2}\right). \tag{26}$$

To keep soft switching in the inverter,  $\alpha$  should be smaller than the input phase angle  $\beta$  of the IPT converter, which can be calculated by

$$\alpha \le \beta = \frac{1}{\pi} \arctan \left| \frac{\operatorname{Im}(Z_{\text{in}})}{\operatorname{Re}(Z_{\text{in}})} \right|.$$
(27)

To minimize the extent of the extent of modulated phase shift angle  $\theta$  for efficiency optimization, the modulated conduction angle  $\alpha$  should be maximized, that yields

$$\alpha = \beta. \tag{28}$$

With (24)–(26), the operating points for CV charging are shown in Fig. 8. From the CV charging state in Fig. 8, with increasing  $R_{\text{Battery}}$  from 40 to 360  $\Omega$  during the charging process, the value of phase shift angle  $\theta$  at SAR will keep decreasing. Meanwhile, the modulated conduction angle  $\alpha$  at the inverter will increase to relax the decreasing of  $\theta$ , which reduces the  $X_{\text{eq}}$ .

#### C. Control Schemes of Both Sides

With the operation principles for CC and CV charging for the IPT converter discussed in Sections IV-A and IV-B, respectively, the inverter at the transmitter side and the SAR at the receiver side can be independently controlled without the necessary of feedback wireless communication between them. As shown in Fig. 9, the receiver-side controller detects the charging information  $V_O$  and  $I_O$ . Here,  $k_i = (V_{\text{Rated}}/I_{\text{Rated}})$ where  $I_{\text{rated}}$  is the rated current of the battery. During the initial of CC charging stage, since  $V_O$  is lower than  $V_{\text{rated}}$  ( $V_O < I_O k_i$ )

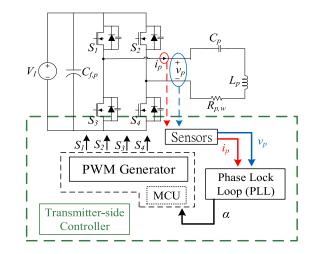


Fig. 10. Control diagram of inverter at transmitter side.

causes that the diode  $D_i$  is on and diode  $D_v$  is reversed biased, the red control path will be operated in the receiverside controller. Thus,  $I_O$  is regulated at the required  $I_{\text{Rated}}$ . Since the constant output current in SSIPT converter can be achieved under alignment case, the SAR can be operated as a passive rectifier without modulation. Meanwhile,  $V_O$  gradually increases until it reaches the required rated voltage  $V_{\text{Rated}}$ , which means CC charging stage is completed. At the initial CV charging stage,  $I_O$  starts to decrease ( $V_O > I_O k_i$ ), which causes that the diode  $D_v$  is on and diode  $D_i$  is reversed biased. The converter will enter into CV charging stage and the blue control path will be activated,  $V_O$  is regulated at the required  $V_{\text{Rated}}$ . Thus, the transition from CC charging stage to CV charging stage can be achieved.

For both CC and CV charging control, first of all, the signal  $I_Ok_i$  or  $V_O$  is subtracted from the reference voltage  $V_{\text{ref}}$ . After that, this difference signal is compared with a fixed switching frequency triangular carrier wave to output phase shift angle  $\theta$  based on (24). Then the microcontroller MCU will output the corresponding conduction angle of the SAR in order to directly regulate the output current  $I_O$ .  $\theta$  is approximately equal to  $\pi$  during CC charging mentioned as discussed in Section IV-A. Once  $V_O$  reaches the threshold charging voltage value,  $\theta$  will be automatically decreased to comply with the CV charging profile. Due to the direct control of the SAR by the receiver-side controller without the necessity of wireless communication with the transmitter side, the charging safety and fast response can be ensued.

As shown in Fig. 10, the transmitter-side controller can simply fix the operating frequency of the inverter and modulates the conduction angle  $\alpha$  close to its maximum value in (28), while soft switching of inverter can be guaranteed. The input phase angle  $\beta$  between  $i_P$  and  $v_P$  is caused by the control of the phase shift angle  $\theta$  of the SAR. As shown in (28),  $\alpha$  is designed to be equal to  $\beta$ . Since  $\alpha$  can be detected by using a phase lock loop (PLL) circuit and can be directly outputted by another MCU at the transmitter side, thus the phase shift PWM driving signals for the inverter can be generated without the necessity of getting the information from the receiver side.

TABLE III Simulation Parameters

Symbol	Parameters	Measured Values			
$I_O$	Rated charging current	5A			
$V_O$	Rated charging voltage	170V			
$V_I$	Input dc voltage	155.5V			
$L_P, L_S$	Self-inductance	256µH, 364µH			
$C_P, C_S$	Compensated capacitance	40nF, 22nF			
k	Coupling coefficient	0.255			
$R_{P,w}, R_{S,w}$	Coil resistance	$0.4\Omega, 0.8\Omega$			
$f_{operating}$	Operating frequency	50kHz			

From Fig. 10, the MCU at the transmitter side can tune  $\alpha$  value in order to achieve its maximum value.

Under misalignment cases, during CC charging, the SAR is not operating as a passive rectifier anymore but operates with the same control strategy as the CV charging stage as discussed above. The only difference is the regulated goal,  $I_O$  for CC charging stage and  $V_O$  for CV charging stage. During CV charging, the control strategy remains changed even under misalignment cases.

With the proposed control scheme in Figs. 9 and 10, the IPT converter can eliminate the feedback wireless communication requirement and achieve direct and safe output regulation.

# D. Stability Analysis of Control Strategy

Since the control of  $\theta$  at SAR is faster than the control of  $\alpha$  at the inverter, it can be assumed that transmitter-side controller and receiver-side controller operate separately for simplicity. As a zero-crossing detection of PLL at the transmitter side is open-loop, the stability of transmitter controller is guaranteed by a stable dc input voltage  $V_I$ .

1) CC Charging Mode: Inherent CC output characteristic of the SSIPT converter has applied for the design of the CC charging stage. During CC charging under alignment case, the receiver side operates as a passive rectifier, which can be modeled as Fig. 5.

From (9) and (10), the relation between  $I_s$  and  $V_p$  can be realized as

$$I_s = \frac{j\omega M}{\left(Z_{\text{eq}} + Z_s\right) \left(Z_p + \frac{\omega^2 M^2}{Z_s + Z_{\text{eq}}}\right)} V_p.$$
(29)

Thus, for changing the coordinate by using the frequency shift theorem of Laplace transform [42], the *s*-domain equivalent impedance of the SSIPT converter system can be described by the following transfer function:

$$I_{S}(s) = \frac{(s - j\omega)M}{\left(Z_{eq}(s) + Z_{S}(s)\right)\left(Z_{P}(s) + \frac{\omega^{2}M^{2}}{Z_{S}(s) + Z_{eq}(s)}\right)}V_{p}(s).$$
 (30)

The stability of the SSIPT system can be realized by the pole-zero map of the equivalent impedance. The load range of CC charging stage is  $[0 \ \Omega, 40 \ \Omega]$ . With the help of the experimental parameters in Table IV, the pole-zero map of the SSIPT system under different load conditions is given in

TABLE IV Experimental Parameters

Symbol	Parameters	Measured Values			
Io	Rated charging current	5 A			
$V_O$	Rated charging voltage	170V			
$V_I$	Input DC voltage	155.5V			
$S_I$ - $S_4$	Switches	SCT3022AL with			
$S_7, S_8$		$R_{on} \approx 22 \mathrm{m}\Omega, V_F \approx 3.2 \mathrm{V}$			
$D_5, D_6$	Diodes	FFSH5065A with			
		<i>V<sub>F</sub></i> ≈1.51V			
$L_P, L_S$	Self-inductance	255.5µH, 364.4µH			
$C_P, C_S$	Compensated capacitance	39.8nF, 26.8nF			
k	Coupling coefficient	0.255			
$R_{P,w}, R_{S,w}$	Coil resistance	0.35Ω, 0.95Ω			
$f_{operating}$	Operating frequency	50kHz			

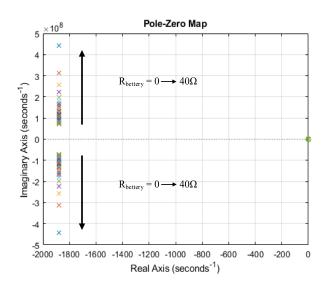


Fig. 11. Pole-zero map of the SSIPT system at load range of CC charging stage from 0 to 40  $\Omega.$ 

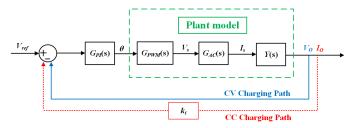


Fig. 12. Control block diagram of the receiver-side controller.

Fig. 11. It indicates that the system poles stay at the lefthand side of the complex plane, which are far away from the imaginary axis. However, it is obviously realized that the designed SSIPT system is stable under the load range of CC charging stage. Moreover, the misalignment case during CC charging is inevitable. According to the discussion in Section IV-C, the same controller for the receiver side CC and CV charging is used; the only difference among them is the sensed signal with its gain. The control scheme of CC charging under misalignment case is represented as red path in Fig. 12. 2) CV Charging Mode: For the CV charging stage, the SSIPT itself cannot achieve the load-independent output voltage. Thus, a feedback voltage controller is necessary to be used at the receiver side. According to the control scheme at the receiver side, the control block diagram of the receiver-side controller is given in Fig. 12, where the transfer functions are described in the following.

The PI controller is tuned by the Ziegler–Nichols' rules [43].  $G_{PI}(s)$  is the transfer function of the PI controller, which is given as

$$G_{\rm PI}(s) = K \left( 1 + \frac{1}{\tau_I s} \right) \tag{31}$$

where K is the control gain and  $\tau_I$  is the integrator time constant

$$K = \frac{1}{2.2|G_{\text{Plant}}(j\omega)|} \tag{32}$$

$$\tau_I = \frac{2\pi}{1.2\omega}.\tag{33}$$

The plant model of receiver side can be realized by  $G_{\text{Plant}}(s) = G_{\text{PWM}}(s)G_{\text{AC}}(s)Y(s)$ .  $G_{\text{PWM}}(s)$  is the small-signal transfer function of the PWM process, which can be modeled as a time delay [44]

$$G_{\rm PWM}(s) = e^{-1.5T_s s} \tag{34}$$

where  $T_s$  is the sampling period.

From Fig. 5, the receiver-side ac current  $I_s(s)$  can be obtained as

$$I_{s}(s) = \frac{1}{Z_{s}(s)} V_{s}(s) - \frac{1}{Z_{s}(s)} s M I_{p}(s).$$
(35)

 $G_{AC}(s)$  is the transfer function between  $V_s$  and  $I_s$  (ac circuit part at receiver side), which is given as

$$G_{\rm AC}(s) = \frac{1}{Z_s(s)}.\tag{36}$$

Y(s) is the small-signal model of the dc circuit part at receiver side, which is the transfer function from  $I_s$  to  $V_o$ . This model has considered the output capacitor  $C_{f,s}$  and the load resistance  $R_{\text{battery}}$  [44]. The relationship between  $I_s$  (s) and  $V_o$  (s) can be derived as

$$I_S(s) = Y(s)V_O(s) \tag{37}$$

where Y(s) can be realized as

$$Y(s) = \frac{1}{V_{\text{ref}}C_{f,s}s + \frac{V_{\text{ref}}^2}{R_{\text{battery}}}}.$$
(38)

The small-signal stability can also be realized from the polezero map of the closed-loop system, in which the closed-loop transfer function can be derived as

$$G_{\rm CL}(s) = \frac{G_{\rm PI}(s)G_{\rm PWM}(s)G_{\rm AC}(s)Y(s)}{1 + G_{\rm PI}(s)G_{\rm PWM}(s)G_{\rm AC}(s)Y(s)}.$$
(39)

With the help of the experimental parameters in Table IV and (39), the pole-zero map of the closed-loop system at load range of CV charging stage from 40 to 360  $\Omega$  is given in Fig. 13. From Fig. 13, the closed-loop system poles of CV charging stage stay at the left-hand side of the complex plane, which means that the closed-loop controlled IPT system is stable at load range of CV charging stage from 40 to 360  $\Omega$ .

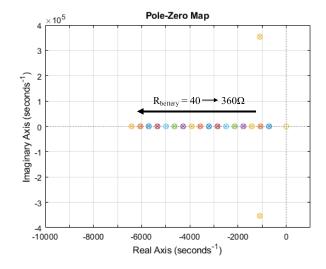


Fig. 13. Pole-zero map of the closed-loop system at the load range of CV charging stage from 40 to 360  $\Omega.$ 

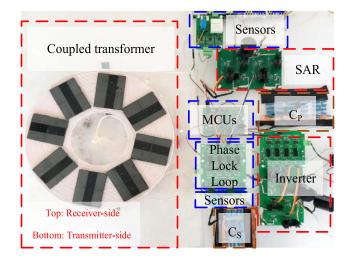


Fig. 14. 1-kW experimental SS IPT prototype.

# V. EXPERIMENTAL VERIFICATION

## A. Specifications and Experimental Prototype

To verify the CC and CV charging of the SSIPT with a constant frequency and without feedback wireless communication, an experimental prototype was built in the laboratory as shown in Fig. 14, and its corresponding parameters are given in the Table IV. In the experiment, the electronic load is used to emulate the battery, with the load ranges from 20 to 335  $\Omega$ . The input and output dc powers are measured by Yokogawa WT1800 Digital Power Analyzer, and the experimental waveforms are captured by the Yokogawa DL850EV Power Scope.

# B. Measured Operating Points, Charging Process and Waveforms

The proposed CC and CV operation principles of the SSIPT converter discussed in Section IV is studied and evaluated via the experimental prototype as in Fig. 14. The proposed system including the inverter part and SAR part operates

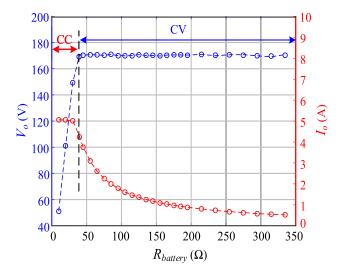


Fig. 15. Measured output points of output charging current  $I_O$  and voltage  $V_O$  for whole charging process versus battery resistance.

at a constant operating frequency of 50 kHz during the whole charging process. The SAR at the receiver side is responsible for direct output voltage regulation by varying its conduction angle, while the inverter at the transmitter side are independently controlled to achieve an efficient charging profile through relaxing the extent of modulated phase shift angle of SAR at the receiver side. By adjusting the conduction angle of the inverter and the phase shift angle of the SAR, the SSIPT converter can achieve precise output and efficiency enhancement during the whole charging process without the necessary of feedback wireless communication.

During the CC charging stage, the inverter operates at 50 kHz. The phase shift angle of the SAR is fixed at  $\pi$  for inherent LIC output for CC charging and the conduction angle of the inverter is fixed at zero as discussed in Section IV-A. The measured output points are shown in Fig. 15 which highlighted in red. Obviously, the charging output current approximately keeps constant at 5 A during CC charging stage, in which the charging voltage increases until it reaches the threshold charging voltage of 170 V.

Then, the charging system transits into CV charging stage. The SAR is tightly regulated to control the output voltage to track the constant charging voltage of 170 V, while the inverter is still operating at a fixed 50 kHz and independently controlled to minimize the input magnitude by phase shift PWM, in order to minimize the extent of the extent of modulated phase shift angle  $\theta$  of the SAR for efficiency optimization as discussed in Section IV-B. The measured output points and the operating points are plotted as shown in Figs. 15 and 16, respectively. As shown in Fig. 16, along with the charging process, the conduction angle  $\alpha$  of the inverter increases while the phase shift angle  $\theta$  of the SAR decreases. In detail, by increasing  $R_{\text{Battery}}$  varied from 40 to 355  $\Omega$ , the phase shift angle  $\theta$  varies from 0.780 $\pi$  to  $0.296\pi$  and the conduction angle  $\alpha$  varies from  $0.166\pi$  to  $0.596\pi$ . Compared with the simulation results, their trends are consistent.

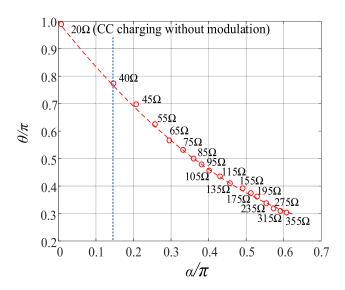


Fig. 16. Measured operating points of conduction angle  $\alpha$  and phase shift angle  $\theta$  at constant operating frequency.

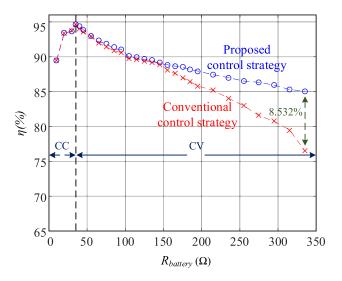


Fig. 17. Measured charging efficiency of the proposed approach and the conventional approach [14].

The comparison of the efficiency between the proposed control strategy and the conventional control strategy [14] for the SSIPT is shown in Fig. 17. The conventional control method applies various operating frequency for tracking the LIV output with feedback wireless communication [14]. From Fig. 17, the proposed control method can keep the efficiency over 85%, which is better than the conventional one for the CV charging stage. The proposed one can obtain an efficiency of 8.536% better than the conventional one at the end of charging.

With the proposed control strategy of the IPT converter, the experimental waveforms of inverter and SAR at CC charging with  $R_{\text{battery}} = 20 \ \Omega$ , initial period of CV charging with  $R_{\text{battery}} = 40 \ \Omega$ , and the end of CV charging with  $R_{\text{battery}} = 335 \ \Omega$ , are shown in Fig. 18(a)–(c), respectively. From Fig. 18, it obviously shows that the ZVS can be achieved in both inverter and SAR parts. Fig. 20 shows that the transient voltage and current of the switch  $S_1$  and  $S_4$  at  $R_{\text{battery}} = 20 \ \Omega$ 

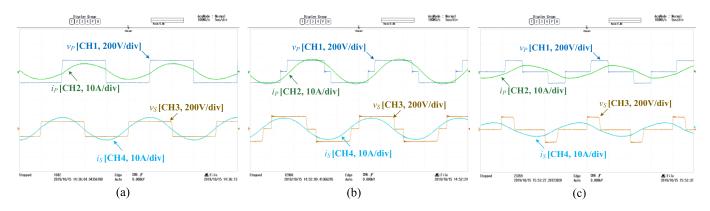


Fig. 18. Measured operating waveforms of the inverter and SAR at (a) CC charging, (b) initial period of CV charging, and (c) end of CV charging.

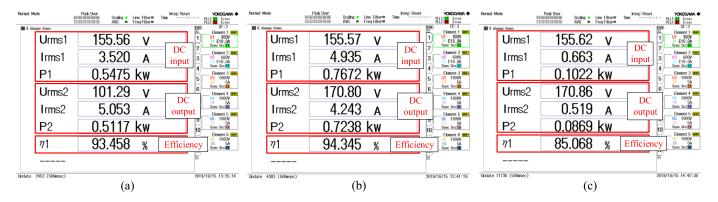


Fig. 19. Screen capture of experimental input power, output power and efficiency at (a) CC charging, (b) initial period of CV charging, and (c) end of CV charging.

during CC charging ( $S_2$  and  $S_3$  have the same results) as an example.  $v_{ds}$  is the voltage across the drain-to-source of a MOSFET, while  $i_{ds}$  is the drain-to-source current of a MOSFET. When the  $S_1$  and  $S_4$  are turned on (the gate signals go high), their voltage  $v_{ds}$  become 0. As  $v_{ds}$  is much lower than the forward-on voltage of the body diode therefore current naturally commutates from the body diodes to the device's channels and ZVS is achieved. As the current  $i_{ds}$  is still negative at this moment,  $S_1$  and  $S_4$  are turned on with a reverse current, which means the current goes through their anti-parallel diodes instead of the MOSFET itself. Therefore, ZVS can be achieved if the  $i_{ds}$  is reversed or in negative value [45]. From the turn-on instance of Fig. 20,  $S_1$  and  $S_4$  are turned on while their  $i_{ds}$  are reversed. Thus, the ZVS of the inverter can be achieved even if it is without modulation during CC charging under alignment case. Moreover, Fig. 21(a) and (b) shows that the transient voltage and current of the switch  $S_1$ and  $S_4$  ( $S_2$  and  $S_3$  will have the same results) and  $S_7$ ( $S_8$  has the same result) at  $R_{\text{battery}} = 335 \,\Omega$  during CV charging stage, respectively. From the turn-on instant of Fig. 21(a) and (b),  $S_1$ ,  $S_4$  and  $S_7$  are turned on while their  $i_{ds}$  are reversed [45]. Thus, they verify that both the inverter part and SAR part can achieve soft switching during CV charging stage. Also, the corresponding screen capture of the experimental input power, output power and efficiency are shown in Fig. 19(a)-(c), respectively. In summary, the proposed control strategy can satisfy the charging requirement of the battery efficiently

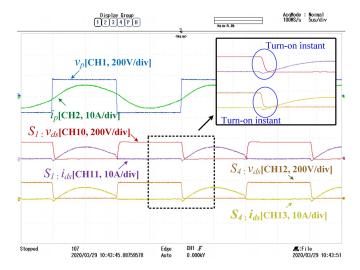


Fig. 20. Transient voltage and current of the switches  $S_1$  and  $S_4$  at  $R_{\text{battery}} = 20 \ \Omega$  during CC charging stage under ideal alignment case.

for the whole charging process with a constant operating frequency and without the necessity of wireless communication between transmitter and receiver sides.

# C. Transient Response for Variations of Load

A closed-loop controller has been implemented for the SSIPT experimental platform for CV charging. And the

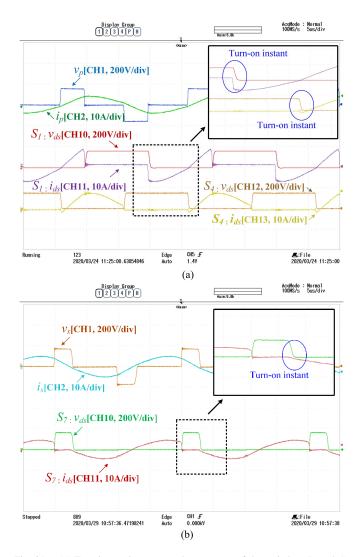


Fig. 21. (a) Transient voltage  $v_{\rm ds}$  and current  $i_{\rm ds}$  of the switches  $S_1$  and  $S_4$  at  $R_{\rm battery} = 335 \ \Omega$  during CV charging. (b) Transient voltage and current of the switches  $S_7$  at  $R_{\rm battery} = 335 \ \Omega$  during CV charging stage.

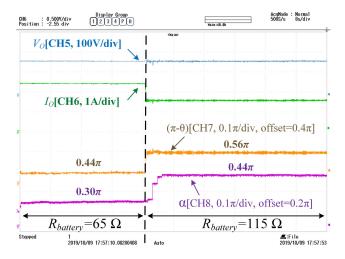


Fig. 22. Transient waveforms ( $V_o$ ,  $I_o$ ,  $\pi$ - $\theta$ ,  $\alpha$ ) when  $R_{\text{battery}}$  steps change from 65 to 115  $\Omega$ .

experimental transient waveforms of the charging output voltage  $V_O$  and current  $I_O$  from a load step change from 65 to

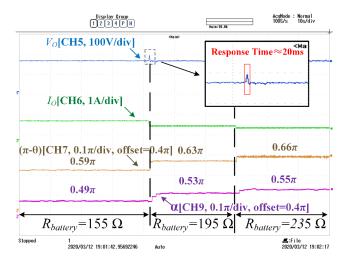


Fig. 23. Transient waveforms ( $V_o$ ,  $I_o$ ,  $\pi$ - $\theta$ ,  $\alpha$ ) when  $R_{\text{battery}}$  steps change from 155 to 195  $\Omega$  and then to 235  $\Omega$ .



Fig. 24. Experimental coils with misalignment, coupling coefficient k = 0.232.

115  $\Omega$  are shown in Fig. 22. The output voltage  $V_0$  is shown in CH5 and the output current  $I_O$  is shown in CH6. Also, the conduction angle  $\pi$ - $\theta$  for the SAR and the conduction angle  $\alpha$  for the inverter are shown in CH7 and CH8. During the control process,  $\theta$  is directly controlled for output voltage regulation, while  $\alpha$  is adjusted correspondingly based on the changing of  $\theta$ . Moreover, Fig. 23 shows a continuous load step change for a larger load range, from 155 to 235  $\Omega$  in which CH7 and CH9 show the conduction angle  $\pi$ - $\theta$  of the SAR and conduction angle  $\alpha$  of the inverter. Also, the response time of the control strategy is also shown in Fig. 23, which is about 20 ms. Figs. 22 and 23 indicate that the SSIPT converter is stable within the charging load range. Compared with the control of  $\theta$ , control of  $\alpha$  is slower. Since the charging process is slow, the proposed control strategy can satisfy the charging requirement. In addition, no feedback wireless communication technique is needed during the whole charging process.

# D. Misalignment Effect

Misalignment issues are inevitable in wireless battery charging because of charging positioning error, causing the unexpected output current or voltage. When the coupling coefficient k changes from 0.255 to 0.232 as shown in Fig. 24

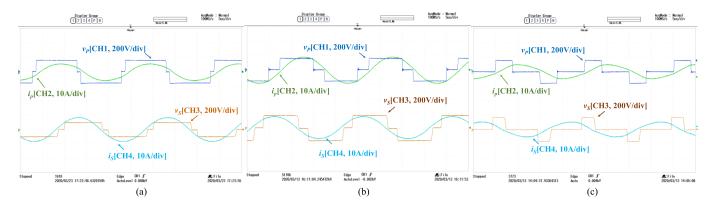


Fig. 25. Measured operating waveforms of the inverter and SAR at (a) CC charging, (b) initial period of CV charging, and (c) end of CV charging under misalignment, k = 0.232.

Normal Mode	Peak Over BURZING HEINSING Scaling  Line Filto D10220302003006 AVG Freq Filte	PI12:01 Frror	Normal Mode	Peak Over IETIZZ IZITIETIZZ ING Scaling II Line Filter IETIZZ IZITIETIZZ IZITIETIZZ AVG II Freq Filter	PIL2: PIL		Peak Over 19102/05184/8586 Scaling = Line Filter= 19102/0510305866 AVG = Freq Filter=	PLL2:UT Error
8 change items		PAGE UP:3	8 change items		PAR UP:3	8 change items		PAGE DF:3
Urm	s1 <b>155.47 v</b>	Element 1 HEHH     U1 300V     I1 E10.0A     Sync Src(II	Urms1	155.45 v	DC 2	Urms1	155.50 v	DC
Irm	s1 3.507 A	input 2 Element 2 1994 12 Element 2 1994 12 El0.04 5 Syne Stell2	Irms1	4.993 a	input 2 Element 2 1991 3 U2 600V 12 E10.0A Synte Sec112	lrms1	0.714 a	input 2 Element 2 BBH 12 El 0004 12 El 004 Sync Sec[12
P1	0.5447 kw	4	P1	0.7758 kw	4 Element 3 HBH 5 U3 100V 13 5A	P1	0.1100 kw	4 Element 3 1004 5 U3 1004 13 54
Urm	s2 <b>100.35 v</b>	6 Sync StretT3 Element 4 MMH	Urms2	170.18 v	6 Sync Src[13 Element 4 (1991)	Urms2	170.87 v	6 Sync Src[13 Element 4 IMM
Irm	52 <b>5.026 A</b>	DC 14 1000 0 treft 5 interest 5	Irms2	4.256 a	DC 14 54 Sync Strette output Element 5 ###	Irms2	0.5355 A	Output
<b>P</b> 2	0.5039 kw	0 0 0 0 0 0 0 0 0 0 0 0 0 0	<b>P</b> 2	0.7237 kw	US 1004 16 54 Sync Strc[15 10 Element 6 8891	<b>P</b> 2	90.13 w	US 1000 16 54 Sync Stc[15] 10 Element 6 889
71	92.515 %	Efficiency	71	93.284 %	Efficiency L6 100V 16 54 Syne Stelle	71	81.941 %	Efficiency U6 100V 16 54 Sync Stellie
		<b>e</b>			*			
Update 777 (500m	sec)	2020/03/13 12:37:26	Update 4730 (500msec)		2020/03/13 14:59:03 U	odate 854 (500msec)		2020/03/13 12:52:25
	( )			<i>a</i> .				

(a) (b) (c) Fig. 26. Screen capture of experimental input power, output power and efficiency at (a) CC charging, (b) initial period of CV charging, and (c) end of CV charging under misalignment, k = 0.232.

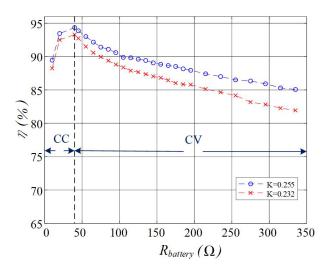


Fig. 27. Comparison of measured charging efficiency of the proposed approach under k = 0.255 and k = 0.232.

due to misalignment, Fig. 25 shows the measured operating waveforms of the inverter and SAR with misalignment k = 0.232 at CC charging stage with  $R_{\text{battery}} = 20 \Omega$ , initial period of CV charging stage with  $R_{\text{battery}} = 40 \Omega$ , and the end of CV charging stage with  $R_{\text{battery}} = 335 \Omega$ . From Fig. 25, the output current regulation is achieved by the SAR. Compared Fig. 26 with Fig. 19, the constant output current

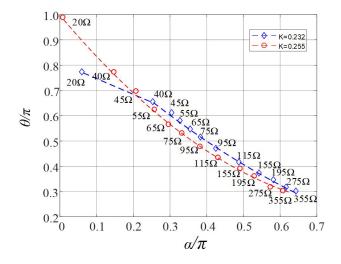


Fig. 28. Comparison of measured operating points of conduction angle  $\alpha$  and phase shift angle  $\theta$  at constant operating frequency under k = 0.255 and k = 0.232.

and constant output voltage characteristics can be kept almost constant even under misalignment. Moreover, the operation phase shift and conduction angle of  $\theta$  and  $\alpha$  under k =0.255 and k = 0.232 are shown in Fig. 28, while their corresponding charging efficiency curves are given in Fig. 27. Finally, from Figs. 25–28, the proposed control modulation techniques for the SSIPT converter can relax the misalignment effect, achieving the constant output current and voltage and still maintaining high efficiency.

# VI. CONCLUSION

This paper reported a constant-frequency noncommunication-based control method for IPT converters. Verified by a single-stage SS IPT battery charger, both CC and CV charging profiles are underpinned by controlling the phase shift angle of the SAR, and the conduction angle of the inverter. A constant operating frequency and no feedback wireless communication aid lowering the risk of instability during charging while improving the charging efficiency. Also, it allows no extra dc-dc converter and power switch to save cost. Fully soft switching with simple control results in high efficiency at both the receiver and transmitter sides. Compared with the typical variable-frequency charging approach, a better efficiency is demonstrated here with respect to both theoretical analysis and experimental verification.

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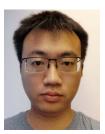
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